

10/069463

JC10 Rec'd PCT/PTO 26 FEB 2002

TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A FILING UNDER 35 U.S.C. 371

ATTORNEY'S DOCKET NUMBER 50683

U.S. APPLICATION NO. (If known, see 37 CFR 1.5)

INTERNATIONAL APPLICATION NO.

INTERNATIONAL FILING DATE

PRIORITY DATE CLAIMED

PCT/EP00/08220

August 23, 2000

August 26, 1999

TITLE OF INVENTION:

COSMETIC AND/OR PHARMACEUTICAL PREPARATIONS WITH POLYMERS CONTAINING POLYSILOXANES AND THEIR USE

APPLICANT(S) FOR DO/EO/US

Wilma M. DAUSCH; Jacqueline ENGESSER, Helmut WITTELER

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following
items and other information:

1. /X/ This is a FIRST submission of items concerning a filing under 35 U.S.C. 371.
2. / / This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371.
3. /X/ This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).
4. /X/ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date
5. /X/ A copy of the International Application as filed (35 U.S.C. 371(c)(2)).
 - a. /X/ is transmitted herewith (required only if not transmitted by the International Bureau).
 - b. / / has been transmitted by the International Bureau.
 - c. / / is not required, as the application was filed in the United States Receiving Office (RO/USO).
6. /X/ A translation of the International Application into English (35 U.S.C. 371(c)(2)).
7. /X/ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)).
 - a. /X/ are transmitted herewith (required only if not transmitted by the International Bureau).
 - b. / / have been transmitted by the International Bureau.
 - c. / / have not been made; however, the time limit for making such amendments has NOT expired.
 - d. / / have not been made and will not be made.
8. / / A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. /X/ An oath or declaration of the inventor(s) (35 U.S.C. 171(c)(4)).
10. / / A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).
- Items 11. to 16. below concern other document(s) or information included:
11. /X/ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. /X/ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. /X/ A FIRST preliminary amendment.
- / / A SECOND or SUBSEQUENT preliminary amendment.
14. / / A substitute specification.
15. / / A change of power of attorney and/or address letter.
16. /X/ Other items or information.
 - International Search Report
 - International Preliminary Examination Report



10069463 08220 2002

10/069463

JC19 Rec'd PCT/PTO 26 FEB 2002

U.S. Appl. No. (If Known) INTERNATIONAL APPLN. NO.
PCT/EP /

ATTORNEY'S DOCKET NO.

		CALCULATIONS	PTO USE ONLY
17. /X/ The following fees are submitted			
BASIC NATIONAL FEE (37 CFR 1.492(a)(1)-(5)):			
Search Report has been prepared by the			
EPO or JPO.....	\$890.00	890.00	
International preliminary examination fee paid to USPTO			
(37 CFR 1.482).....	\$710.00		
No international preliminary examination fee paid to			
USPTO (37 CFR 1.482) but international search fee paid			
to USPTO (37 CFR 1.445(a)(2)).....			
	\$740.00		
Neither international preliminary examination fee			
(37 CFR 1.482) nor international search fee			
(37 CFR 1.445(a)(2)) paid to USPTO			
	\$1040.00		
International preliminary examination fee paid to			
USPTO (37 CFR 1.482) and all claims satisfied pro			
-visions of PCT Article 33(2)-(4).....			
	\$100.00		
ENTER APPROPRIATE BASIC FEE AMOUNT =		\$	890.00
Surcharge of \$130.00 for furnishing the oath or declaration			
later than / / 20 / / 30 months from the earliest			
claimed priority date (37 CFR 1.492(e)).			
Claims	Number Filed	Number Extra	Rate
Total Claims	15 -20		X\$18.
Indep. Claims	3-3		X\$84.
Multiple dependent claim(s) (if applicable)		+280.	
TOTAL OF ABOVE CALCULATION		=	
Reduction of 1/2 for filing by small entity, if applicable.			
Verified Small Entity statement must also be filed			
(Note 37 CFR 1.9, 1.27, 1.28).			
SUBTOTAL		=	\$890.00
Processing fee of \$130. for furnishing the English			
translation later than / / 20 / / 30 months from the			
earliest claimed priority date (37 CFR 1.492(f)).			
TOTAL NATIONAL FEE		=	\$890.00
Fee for recording the enclosed assignment (37 CFR 1.21(h))			
The assignment must be accompanied by an appropriate cover		40.00	
sheet (37 CFR 3.28, 3.31) \$40.00 per property		=	
TOTAL FEES ENCLOSED		=	\$ 930.00
		Amount to be	
		refunded: \$	
		Charged \$	

- a./X/ A check in the amount of \$930.00 to cover the above fees is enclosed.
- b./ / Please charge my Deposit Account No _____ in the amount of \$ _____ to cover the above fees. A duplicate copy of this sheet is enclosed.
- c./X/ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 11-0345. A duplicate copy of this sheet is enclosed
- NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:
 KEIL & WEINKAUF
 1101 Connecticut Ave., N.W.
 Washington, D. C. 20036

Herbert B. Keil
 SIGNATURE
 Herbert B. Keil
 NAME
 18,967
 Registration No.

200202069463

JC19 Rec'd PCT/PTO 26 FEB 2002

In re the U.S. Nationalization Application
of PCT/EP00/08220 of DAUSCH et al.

For: COSMETIC AND/OR PHARMACEUTICAL PREPARATIONS WITH POLYMERS
CONTAINING POLYSILOXANES AND THEIR USES THEREOF

Honorable Commissioner of
Patents and Trademarks
Washington, D.C. 20231

PRELIMINARY AMENDMENT

Prior to examination of the above-identified U.S. National Stage application, kindly amend the application as follows.

[illegible]

CLEAN VERSION OF AMENDED CLAIMS

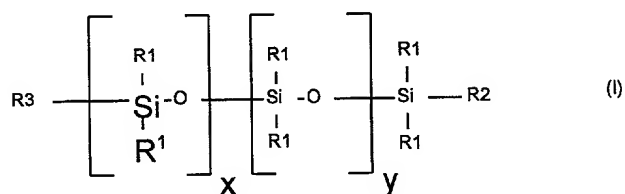
Claims 9, 10, 13-18, 22 and 23 should read as follows:

9.(amended) A preparation comprising

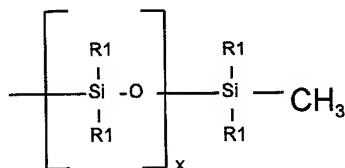
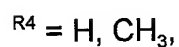
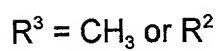
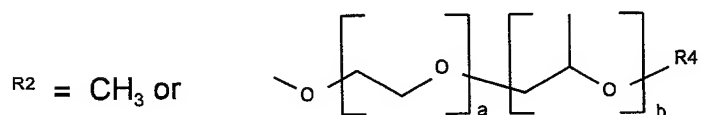
- polymer obtainable by free-radical polymerization of a monomer mixture

of

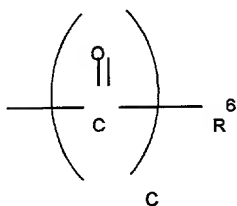
- (a) ethylenically unsaturated monomers
- (b) polyalkylene oxide-containing silicone derivatives of the formula



where:

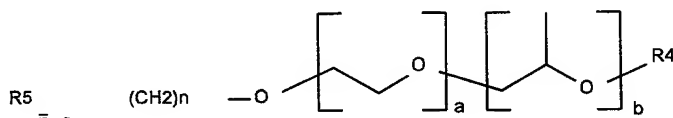


R^6 is an organic radical having 1 to 40 carbon atoms which can contain



amino, carboxylic acid or sulfonate groups, or, for the case $c=O$, is also the anion of an inorganic acid,

and where the radicals R^1 may be identical or different, and either originate from the group of aliphatic hydrocarbons having 1 to 20 carbon atoms, are cyclic aliphatic hydrocarbons having 3 to 20 carbon atoms, are of an aromatic nature or are identical to R^5 , where:



with the proviso that at least one of the radicals R^1 , R^2 or R^3 is a polyalkylene oxide-containing radical according to the above definition,

and n is an integer from 1 to 6,

x and y are integers such that the molecular weight of the polysiloxane block is between 300 and 30,000,

a , b may be integers between 0 and 50, with the proviso that the sum of a and b is greater than 0, and c is 0 or 1, and

— further polymer, chosen from the group formed from polyvinylpyrrolidones;

polyvinylcaprolactams;

polyurethanes;

copolymers of acrylic acid, methyl methacrylate,

octylacrylamide, butylaminoethyl methacrylate and hydroxypropyl

methacrylate;

copolymers of tert-butyl acrylate, ethyl acrylate and methacrylic acid;

copolymers of ethyl acrylate and methacrylic acid;

copolymers of N-tert-butylacrylamide, ethyl acrylate and acrylic acid;

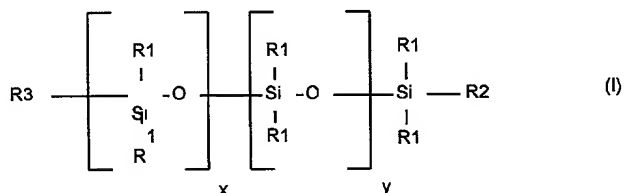
copolymers of vinyl acetate and crotonic acid and/or (vinyl) neodecanoate;

copolymers of vinyl acetate and/or vinyl propionate and

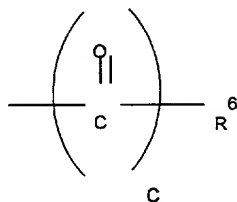
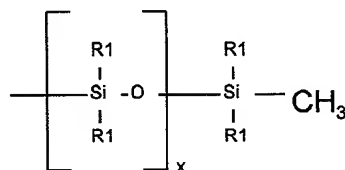
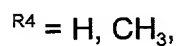
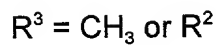
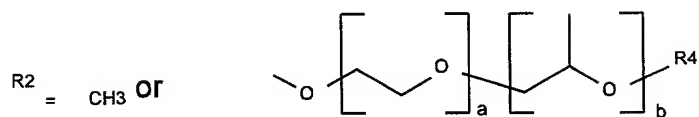
N-vinylpyrrolidone.

10.(amended) A preparation comprising

- polymer obtainable by free-radical polymerization of a monomer mixture of
 - (a) ethylenically unsaturated monomers
 - (b) polyalkylene oxide-containing silicone derivatives of formula

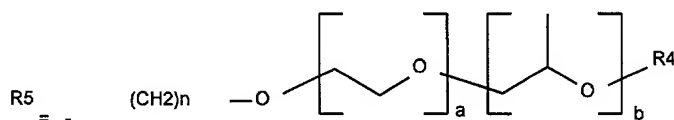


where:



R^6 is an organic radical having 1 to 40 carbon atoms which can contain amino, carboxylic acid or sulfonate groups, or, for the case $c=\text{O}$, is also the anion of an inorganic acid,

and where the radicals R^1 may be identical or different, and either originate from the group of aliphatic hydrocarbons having 1 to 20 carbon atoms, are cyclic aliphatic hydrocarbons having 3 to 20 carbon atoms, are of an aromatic nature or are identical to R^5 , where:



with the proviso that at least one of the radicals R^1 , R^2 or R^3 is a polyalkylene oxide-containing radical according to the above definition,
and n is an integer from 1 to 6,
x and y are integers such that the molecular weight of the polysiloxane block is between 300 and 30,000,
a, b may be integers between 0 and 50, with the proviso that the sum of a and b is greater than 0, and c is 0 or 1, and
- UV light protection filters.

13.(amended) A preparation as claimed in claim 9, wherein (a) is at least one (meth)acrylate.

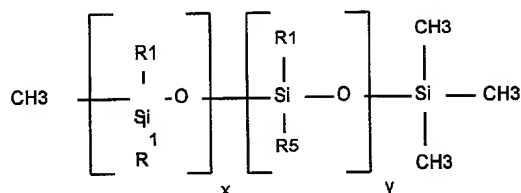
14.(amended) A preparation as claimed in claim 9, wherein (a) is chosen from the group consisting of
(a1) tert-butyl acrylate
(a2) methacrylic acid.

15.(amended) A preparation as claimed in claim 9, wherein the addition polymer is obtainable from
(a) 50 to 99.9% by weight and
(b) 0.1 to 50% by weight
with the proviso that the fractions add up to 100%.

16.(amended) A preparation as claimed in claim 9, wherein the addition polymer is obtainable from
(a1) 49.5 to 99% by weight of a (meth)acrylate

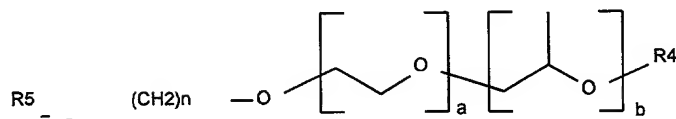
(a2) 0.5 to 40% by weight of another (meth)acrylate

(b) 0.5 to 20% by weight of a silicone derivative according to the following formula:



where

$\text{R}^1 = -\text{CH}_3$



$\text{R}^4 = -\text{H}; -\text{COCH}_3$, alkyl with $\text{C}_1\text{--C}_4$

$n = 1$ to 6 , in particular 2 to 4

x and y are integers such that the molecular weight of the polysiloxane block is between 1000 and 5000 ,

a , b may be integers between 0 and 50 , with the proviso that the sum of a and b is greater than 0 ,

with the proviso that the fractions add up to 100% .

17.(amended) The use of the preparations as claimed in claim 9 in pharmaceutical preparations.

18.(amended) The use of the preparations as claimed in claim 9 in cosmetic preparations.

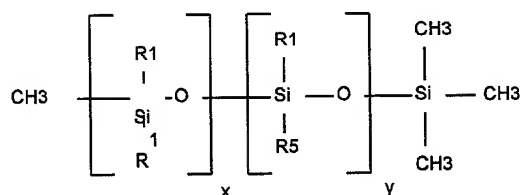
22.(amended) The use of the preparations as claimed in claim 9 as film formers.

23.(amended) A decorative cosmetic comprising a polymer obtainable by free-radical polymerization of a monomer mixture of

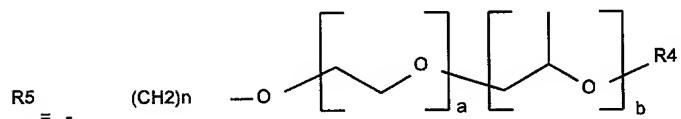
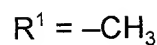
(a1) (meth)acrylate

(a2) another (meth)acrylate

(b) silicone derivative according to the following formula



where



$\text{R}^4 = -\text{H}; -\text{COCH}_3$, alkyl with $\text{C}_1\text{--C}_4$

$n = 1$ to 6 , in particular 2 to 4

x and y are integers such that the molecular weight of the polysiloxane block is between 1000 and 5000 ,

DAUSCH et al. Atty. Docket No. 50633

a, b may be integers between 0 and 50, with the proviso that the sum of a and b is greater than 0.

20250420 16:46:30

REMARKS

The claims have been amended to eliminate multiple dependency and to place them in better form for U.S. practice. Favorable action on the application is solicited.

Please charge any shortage in fees due in connection with the filing of this paper, to Deposit Account No. 11-0345. Please credit any excess fees to such deposit account.

Respectfully submitted,
KEIL & WEINKAUF



Herbert B. Keil
Reg. No. 18,967

1101 Connecticut Avenue, N.W.
Washington, D.C. 20036
(202) 659-0100
HBK/mks

20060413 10:23:02 "0345" 6590100

VERSION WITH MARKINGS TO SHOW CHANGES MADE

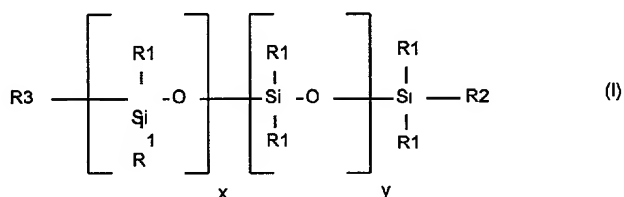
Please cancel claims 1-8 and amend claims 9, 10, 13-18, 22 and 23 as follows:

Please cancel claim 1-8.

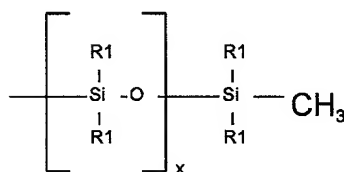
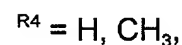
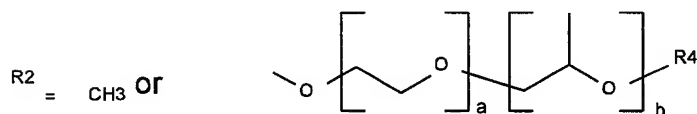
~~1. (canceled) The use of a polymer obtainable by free-radical polymerization of a monomer mixture of~~

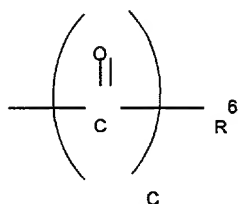
~~(a) ethylenically unsaturated monomers~~

~~(b) polyalkylene oxide containing silicone derivatives of the formula I:~~



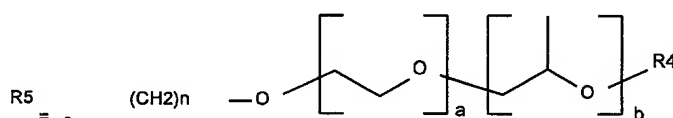
where:





~~R⁶ is an organic radical having 1 to 40 carbon atoms which can contain amino, carboxylic acid or sulfonate groups, or, for the case c=O, is also the anion of an inorganic acid,~~

~~and where the radicals R¹ may be identical or different, and either originate from the group of aliphatic hydrocarbons having 1 to 20 carbon atoms, are cyclic aliphatic hydrocarbons having 3 to 20 carbon atoms, are of an aromatic nature or are identical to R⁵, where:~~



~~with the proviso that at least one of the radicals R¹, R² or R³ is a polyalkylene oxide-containing radical according to the above definition,~~

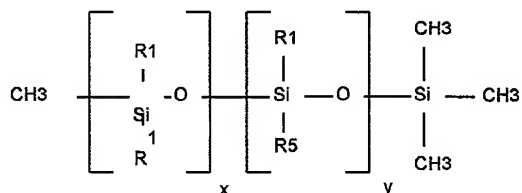
~~and n is an integer from 1 to 6,~~

~~x and y are integers such that the molecular weight of the polysiloxane block is between 300 and 30,000,~~

~~a, b may be integers between 0 and 50, with the proviso that the sum of a and b is greater than 0, and c is 0 or 1.~~

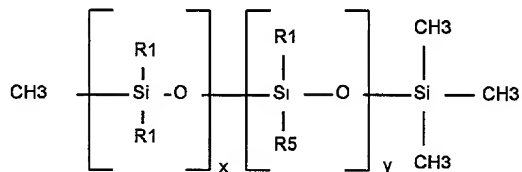
~~in pharmaceutical preparations.~~

2.(canceled) The use as claimed in claim 1, wherein formula I has the following meaning:



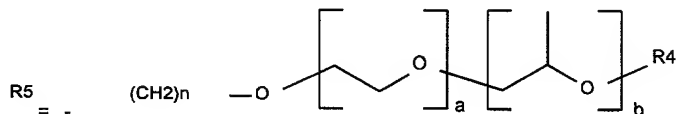
where R^1 and R^5 have the meanings given in claim 1.

3.(canceled) The use as claimed in claim 2, wherein formula I has the following meaning



where

$\text{R}^1 = -\text{CH}_3$



$\text{R}^4 = \text{H}; -\text{COCH}_3$, alkyl with $\text{C}_1 - \text{C}_4$

$n = 1$ to 6 , in particular 2 to 4

x and y are integers such that the molecular weight of the polysiloxane block is between 1000 and 5000 ,

~~— a, b may be integers between 0 and 50, with the proviso that the sum of a and b is greater than 0.~~

~~4.(canceled) The use as claimed in at least one of claims 1 to 3, wherein (a) is at least one (meth)acrylate.~~

~~5.(canceled) The use as claimed in at least one of claims 1 to 4, wherein~~

~~— (a) — is chosen from the group consisting of~~

~~— (a1) — tert-butyl acrylate and~~

~~— (a2) — methacrylic acid.~~

~~6.(canceled) The use as claimed in at least one of claims 1 to 5, wherein~~

~~— (a) — amounts to 50 to 99.9% by weight and~~

~~— (b) — amounts to 0.1 to 50% by weight,~~

~~— with the proviso that the fractions add up to 100%.~~

~~7.(canceled) The use as claimed in at least one of claims 1 to 5, wherein~~

~~— (a1) — amounts to 49.5 to 99% by weight~~

~~— (a2) — amounts to 0.5 to 40% by weight~~

~~— (b) — amounts to 0.5 to 20% by weight,~~

~~— with the proviso that the fractions add up to 100%.~~

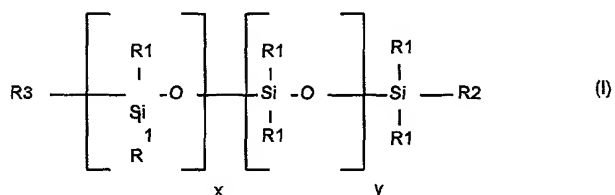
~~8.(canceled) The use of an addition polymer as claimed in at least one of claims 1 to 7 as film former, coating agent and/or binder in pharmaceutical preparations.~~

Please amend claims 9, 10, 13-18, 22 and 23 as follows:

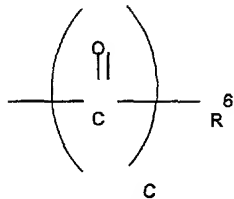
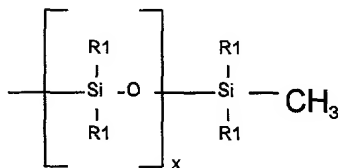
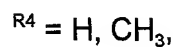
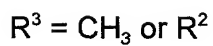
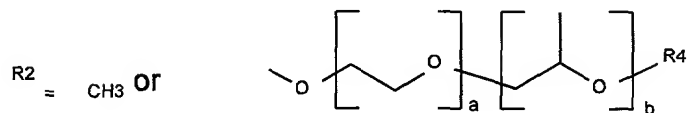
9.(amended) A preparation comprising

– polymer obtainable by free-radical polymerization of a monomer mixture of

- (a) ethylenically unsaturated monomers
- (b) polyalkylene oxide-containing silicone derivatives of the formula



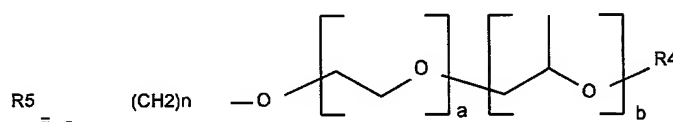
where:



R^6 is an organic radical having 1 to 40 carbon atoms which can contain

amino, carboxylic acid or sulfonate groups, or, for the case $c=O$, is also the anion of an inorganic acid,

and where the radicals R^1 may be identical or different, and either originate from the group of aliphatic hydrocarbons having 1 to 20 carbon atoms, are cyclic aliphatic hydrocarbons having 3 to 20 carbon atoms, are of an aromatic nature or are identical to R^5 , where:



with the proviso that at least one of the radicals R^1 , R^2 or R^3 is a polyalkylene oxide-containing radical according to the above definition, and n is an integer from 1 to 6,

x and y are integers such that the molecular weight of the polysiloxane block is between 300 and 30,000,

a , b may be integers between 0 and 50, with the proviso that the sum of a and b is greater than 0, and c is 0 or 1[.] , and

- further polymer, chosen from the group formed from
 - polyvinylpyrrolidones;
 - polyvinylcaprolactams;
 - polyurethanes;
 - copolymers of acrylic acid, methyl methacrylate,
 - octylacrylamide, butylaminoethyl methacrylate and hydroxypropyl

methacrylate;

copolymers of tert-butyl acrylate, ethyl acrylate and methacrylic acid;

copolymers of ethyl acrylate and methacrylic acid;

copolymers of N-tert-butylacrylamide, ethyl acrylate and acrylic acid;

copolymers of vinyl acetate and crotonic acid and/or (vinyl) neodecanoate;

copolymers of vinyl acetate and/or vinyl propionate and

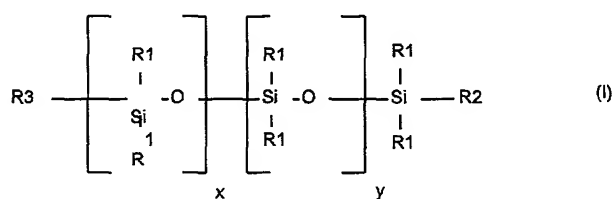
N-vinylpyrrolidone.

10.(amended) A preparation comprising

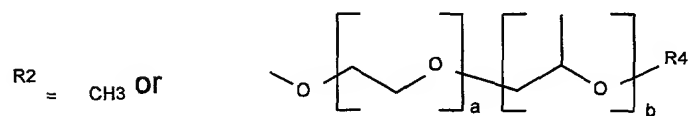
— polymer obtainable by free-radical polymerization of a monomer mixture
of

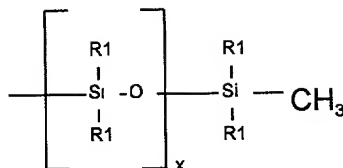
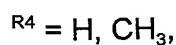
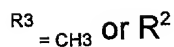
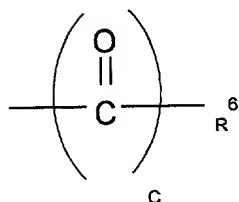
(a) ethylenically unsaturated monomers

(b) polyalkylene oxide-containing silicone derivatives of formula



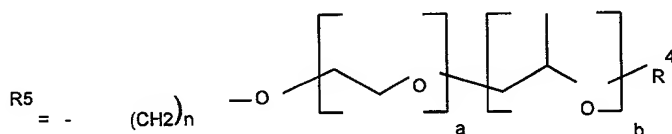
where:





R^6 is an organic radical having 1 to 40 carbon atoms which can contain amino, carboxylic acid or sulfonate groups, or, for the case $\text{C}=\text{O}$, is also the anion of an inorganic acid,

and where the radicals R^1 may be identical or different, and either originate from the group of aliphatic hydrocarbons having 1 to 20 carbon atoms, are cyclic aliphatic hydrocarbons having 3 to 20 carbon atoms, are of an aromatic nature or are identical to R^5 , where:



with the proviso that at least one of the radicals R^1 , R^2 or R^3 is a polyalkylene oxide-containing radical according to the above definition,

and n is an integer from 1 to 6,

x and y are integers such that the molecular weight of the polysiloxane block is

between 300 and 30,000,

a, b may be integers between 0 and 50, with the proviso that the sum of a and b is greater than 0, and c is 0 or 1[.] , and

- UV light protection filters.

13.(amended) A preparation as claimed in [at least on of claims 9 to 12] claim 9,

wherein (a) is at least one (meth)acrylate.

14.(amended) A preparation as claimed in [at least on of claims 9 to 13] claim 9,

wherein (a) is chosen from the group consisting of

(a1) tert-butyl acrylate

(a2) methacrylic acid.

15.(amended) A preparation as claimed in [at least on of claims 9 to 14] claim 9,

wherein the addition polymer is obtainable from

(a) 50 to 99.9% by weight and

(b) 0.1 to 50% by weight

with the proviso that the fractions add up to 100%.

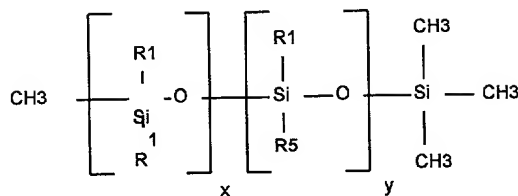
16.(amended) A preparation as claimed in [at least on of claims 9 to 15] claim 9,

wherein the addition polymer is obtainable from

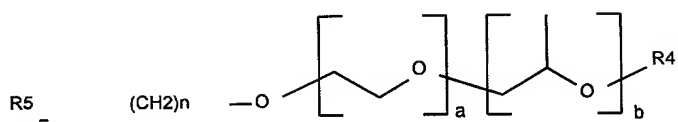
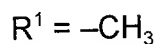
(a1) 49.5 to 99% by weight of a (meth)acrylate

(a2) 0.5 to 40% by weight of another (meth)acrylate

(b) 0.5 to 20% by weight of a silicone derivative according to the following formula:



where



$\text{R}^4 = -\text{H}; -\text{COCH}_3$, alkyl with C_1-C_4

$n = 1$ to 6 , in particular 2 to 4

x and y are integers such that the molecular weight of the polysiloxane block is between 1000 and 5000 ,

a , b may be integers between 0 and 50 , with the proviso that the sum of a and b is greater than 0 ,

with the proviso that the fractions add up to 100% .

17.(amended) The use of the preparations as claimed in [at least on of claims 9 to 16] claim 9, in pharmaceutical preparations.

18.(amended) The use of the preparations as claimed in [at least on of claims 9 to 16] claim 9, in cosmetic preparations.

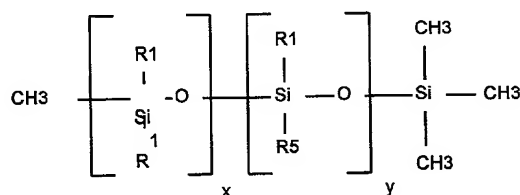
22.(amended) The use of the preparations as claimed in [at least on of claims 9 to 16]
claim 9, as film formers.

23.(amended) [The use of an] A decorative cosmetic comprising a polymer obtainable
 by free-radical polymerization of a monomer mixture of

(a1) (meth)acrylate

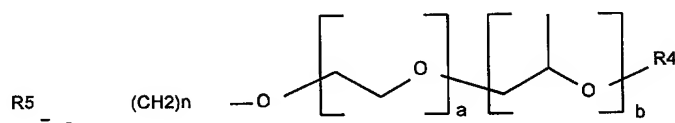
(a2) another (meth)acrylate

(b) silicone derivative according to the following formula



where

$\text{R}^1 = -\text{CH}_3$



$\text{R}^4 = -\text{H}; -\text{COCH}_3$, alkyl with C_1-C_4

$n = 1$ to 6 , in particular 2 to 4

x and y are integers such that the molecular weight of the polysiloxane block is
 between 1000 and 5000 ,

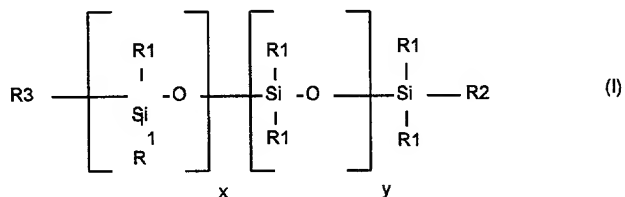
a , b may be integers between 0 and 50 , with the proviso that the sum of a and b
 is greater than 0 ,

in preparations for decorative cosmetics].

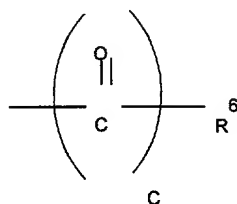
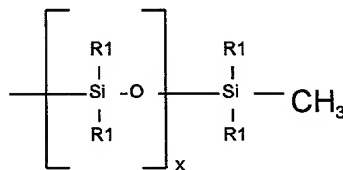
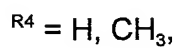
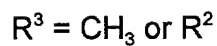
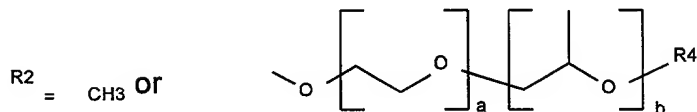
COPY OF ALL CLAIMS

9. A preparation comprising

- polymer obtainable by free-radical polymerization of a monomer mixture of
- (a) ethylenically unsaturated monomers
- (b) polyalkylene oxide-containing silicone derivatives of the formula

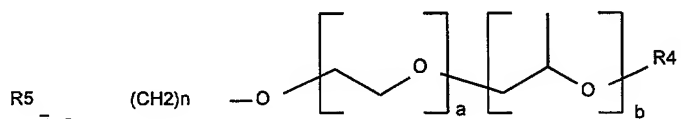


where:



R^6 is an organic radical having 1 to 40 carbon atoms which can contain amino, carboxylic acid or sulfonate groups, or, for the case $c=O$, is also the anion of an inorganic acid,

and where the radicals R^1 may be identical or different, and either originate from the group of aliphatic hydrocarbons having 1 to 20 carbon atoms, are cyclic aliphatic hydrocarbons having 3 to 20 carbon atoms, are of an aromatic nature or are identical to R^5 , where:



with the proviso that at least one of the radicals R^1 , R^2 or R^3 is a polyalkylene oxide-containing radical according to the above definition,

and n is an integer from 1 to 6,

x and y are integers such that the molecular weight of the polysiloxane block is between 300 and 30,000,

a , b may be integers between 0 and 50, with the proviso that the sum of a and b is greater than 0, and c is 0 or 1, and

- further polymer, chosen from the group formed from
 - polyvinylpyrrolidones;
 - polyvinylcaprolactams;
 - polyurethanes;
 - copolymers of acrylic acid, methyl methacrylate,
 - octylacrylamide, butylaminoethyl methacrylate and hydroxypropyl

methacrylate;

copolymers of tert-butyl acrylate, ethyl acrylate and methacrylic acid;

copolymers of ethyl acrylate and methacrylic acid;

copolymers of N-tert-butylacrylamide, ethyl acrylate and acrylic acid;

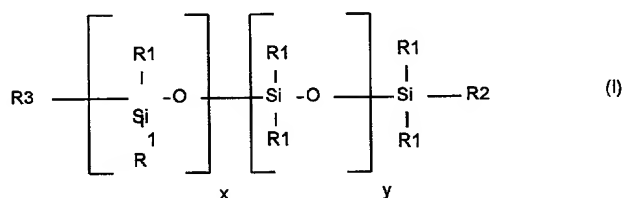
copolymers of vinyl acetate and crotonic acid and/or (vinyl) neodecanoate;

copolymers of vinyl acetate and/or vinyl propionate and

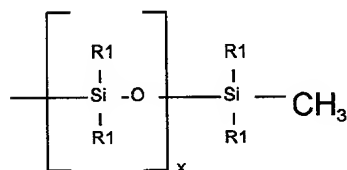
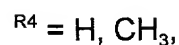
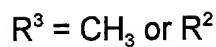
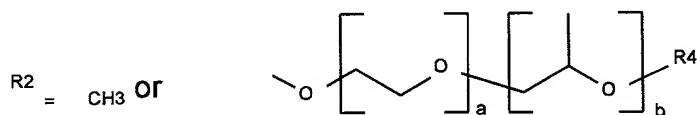
N-vinylpyrrolidone.

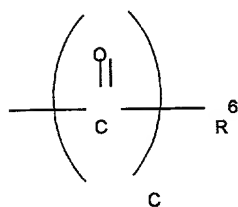
10. A preparation comprising

- polymer obtainable by free-radical polymerization of a monomer mixture of
 - (a) ethylenically unsaturated monomers
 - (b) polyalkylene oxide-containing silicone derivatives of formula

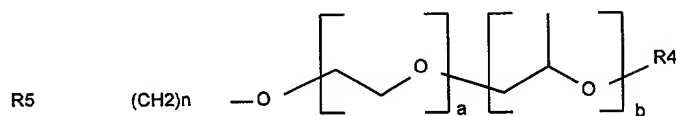


where:





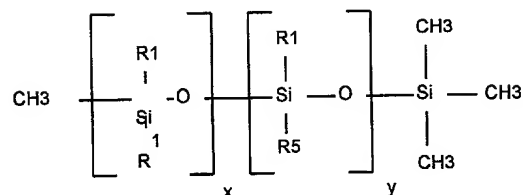
R^6 is an organic radical having 1 to 40 carbon atoms which can contain amino, carboxylic acid or sulfonate groups, or, for the case $c=O$, is also the anion of an inorganic acid, and where the radicals R^1 may be identical or different, and either originate from the group of aliphatic hydrocarbons having 1 to 20 carbon atoms, are cyclic aliphatic hydrocarbons having 3 to 20 carbon atoms, are of an aromatic nature or are identical to R^5 , where:



with the proviso that at least one of the radicals R^1 , R^2 or R^3 is a polyalkylene oxide-containing radical according to the above definition, and n is an integer from 1 to 6, x and y are integers such that the molecular weight of the polysiloxane block is between 300 and 30,000, a , b may be integers between 0 and 50, with the proviso that the sum of a and b is greater than 0, and c is 0 or 1, and

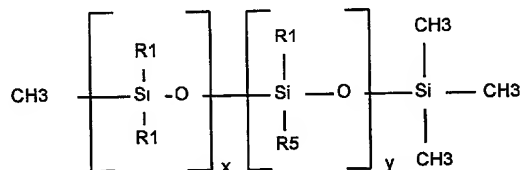
- UV light protection filters.

11. A preparation as claimed in claim 10, wherein formula I has the following meaning

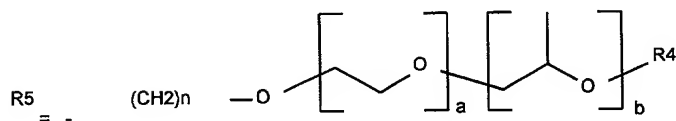
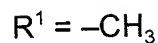


where R^1 and R^5 have the meanings given in claim 10.

12. A preparation as claimed in claim 11, wherein formula I has the following meaning



where



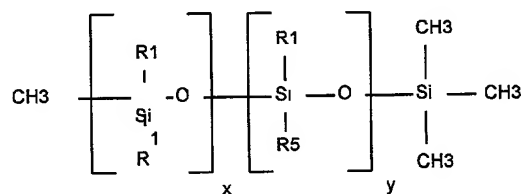
$\text{R}^4 = -\text{H}; -\text{COCH}_3$, alkyl with C_1-C_4

$n = 1$ to 6 , in particular 2 to 4

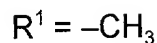
x and y are integers such that the molecular weight of the polysiloxane block is between 1000 and 5000 ,

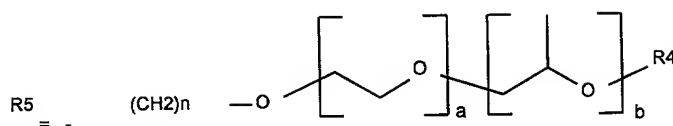
a , b may be integers between 0 and 50 , with the proviso that the sum of a and b is greater than 0 .

13. A preparation as claimed in claim 9, wherein (a) is at least one (meth)acrylate.
14. A preparation as claimed in claim 9, wherein (a) is chosen from the group consisting of
 - (a1) tert-butyl acrylate
 - (a2) methacrylic acid.
15. A preparation as claimed in claim 9, wherein the addition polymer is obtainable from
 - (a) 50 to 99.9% by weight and
 - (b) 0.1 to 50% by weight
 with the proviso that the fractions add up to 100%.
16. A preparation as claimed in claim 9, wherein the addition polymer is obtainable from
 - (a1) 49.5 to 99% by weight of a (meth)acrylate
 - (a2) 0.5 to 40% by weight of another (meth)acrylate
 - (b) 0.5 to 20% by weight of a silicone derivative according to the following formula:



where





$R^4 = -H; -COCH_3$, alkyl with C_1-C_4

$n = 1$ to 6 , in particular 2 to 4

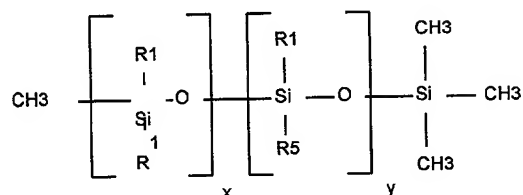
x and y are integers such that the molecular weight of the polysiloxane block is between 1000 and 5000 ,

a , b may be integers between 0 and 50 , with the proviso that the sum of a and b is greater than 0 ,

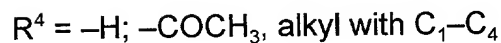
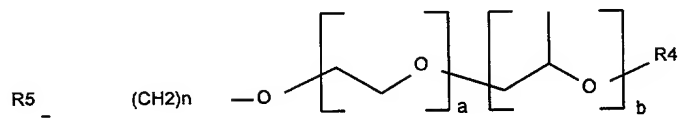
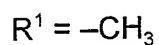
with the proviso that the fractions add up to 100% .

17. The use of the preparations as claimed in claim 9 in pharmaceutical preparations.
18. The use of the preparations as claimed in claim 9 in cosmetic preparations.
19. The use as claimed in claim 18 in nail care compositions.
20. The use as claimed in claim 18 in preparations for decorative cosmetics.
21. The use as claimed in claim 20 in nail varnishes.
22. The use of the preparations as claimed in claim 9 as film formers.
23. A decorative cosmetic comprising a polymer obtainable by free-radical polymerization of a monomer mixture of
 - (a1) (meth)acrylate
 - (a2) another (meth)acrylate

(b) silicone derivative according to the following formula



where



$n = 1$ to 6 , in particular 2 to 4

x and y are integers such that the molecular weight of the polysiloxane block is between 1000 and 5000 ,

a , b may be integers between 0 and 50 , with the proviso that the sum of a and b is greater than 0 .

Cosmetic and/or pharmaceutical preparations comprising polysiloxane-containing addition polymers and use thereof

- 5 The present invention relates to cosmetic and/or pharmaceutical preparations comprising water-soluble or water-dispersible polysiloxane-containing polymers and further polymers and/or UV light protection filters. It also relates to the use of these preparations, and to that of the polysiloxane-containing addition
10 polymers.

Polymers with film-forming properties are used for cosmetic and/or pharmaceutical preparations and are suitable, in particular, as additives for hair and skin cosmetics.

- 15 In cosmetic preparations for the skin, polymers can display particular activity. The polymers can, inter alia, contribute to the moisture retention and conditioning of the skin and to an improvement in the feel of the skin. The skin becomes smoother
20 and more supple.

- In cosmetic preparations for the hair, polymers are used for setting, shaping and improving the structure of hair. They increase the combatibility and improve the feel of the hairs.
25 These hair-treatment compositions generally comprise a solution of the film former in an alcohol or a mixture of alcohol and water.

- A requirement of hair-treatment compositions is that they inter
30 alia impart shine, flexibility and a natural, pleasant feel to the hair.

- It is known to use vinyl lactam homo- and copolymers or carboxylate-containing polymers. The desired profile of
35 properties, such as strong setting at high atmospheric humidity, elasticity, ability to be washed out of the hair and compatibility with the other formulation components, is achieved by copolymerization of a combination of hydrophobic, elastifying and carboxyl-containing monomers.

- 40 The feel of hairstyles set using these polymers, however, is unpleasantly harsh and unnatural. Although the addition of softeners improves the feel of such hairstyles, it also reduces the setting action.

45

10069463.PCT

Use is frequently made of polysiloxanes, although these are incompatible with polar polymers and often require further additives in order that they can be formulated at all.

Separations can lead to problems both during storage of the
5 formulation and during use.

In order to prevent separations, there has therefore been no lack of attempts to bind polysiloxane groups covalently to the polymer. EP-A 408 311 describes graft copolymers with a carbon
10 main chain to which polydimethylsiloxane side chains are bonded. Only polymers which are prepared using unsaturated monomers which carry a polysiloxane chain are described. Graft copolymers with a carbon main chain to which polydimethylsiloxane side chains are bonded are not described.

15 EP-A 670 342 describes the use of alkoxyated silicones in hair care compositions. The use of addition polymers of unsaturated compounds in hair care compositions is not disclosed. Although the use of alkoxyated silicones as additive to commercially
20 available hair-setting polymers does improve the feel thereof, it also leads to reduced setting action.

European patents EP-A 412 704 and EP-A 412 707 describe polysiloxane groups in the form of macromonomers having molar
25 masses of from 1000 to 50,000, which are polymerized with customary hydrophobic and hydrophilic monomers. The synthesis of these monomers is extraordinarily complex. Because of their high molecular weight, it is virtually impossible to separate out unreacted monomers and unreactive impurities thereof from the
30 polymers. These represent a toxicological and allergenic risk. Moreover, the copolymers obtained, in order to achieve a good action, must often be formulated only in combination with further polymers, carriers and further auxiliaries, as the abovementioned patents teach.

35 DE 42 40 108 describes polysiloxane-containing binders which are suitable as soil-repellent coatings, in particular as antigraffiti coatings. These binders are, however, varnish-like and are not suitable for cosmetic purposes.

40 WO 99/04750 describes polymers obtainable by free-radical polymerization of ethylenically unsaturated monomers in the presence of polyalkylene oxide-containing silicone derivatives.

45 The invention provides for the use of an addition polymer obtainable by free-radical polymerization of a monomer mixture of

(a) ethylenically unsaturated monomers and

(b) polyalkylene oxide-containing silicone derivatives

5 in pharmaceutical preparations.

Solid pharmaceutical presentations such as tablets, capsules, pellets, granules, crystals etc. are coated, i.e. provided with a film coating, for a very wide variety of reasons. It is possible
10 in this way, for example, to mask an unpleasant odor or taste, and to improve swallowability. The stability of the active ingredient can be increased by the coating, since less water vapor and oxygen passes to the inside of the tablets. The presentations have a better appearance and can be distinguished
15 better by incorporating dyes. Moreover, the rate of release of the active ingredient, in particular, can be adjusted by the film coating.

A distinction is generally made between instant release forms and
20 slow release forms.

In the case of instant release forms, the disintegration of the tablet and the release of the active ingredient from the presentation should, where possible, be unaffected by the
25 coating, for which reason the film coating must dissolve rapidly in gastric fluid. In addition, it must have good film properties. The tensile strength and the elongation at break should be high so that the film coating withstands mechanical effects like those which arise during pharmaceutical processing - especially
30 packaging - and also during transportation or storage.

A frequently used product for the coating of instant release tablets is hydroxypropylmethylcellulose (HPMC). Hydroxypropylmethylcellulose exhibits a steep rise in viscosity
35 with increasing concentration in aqueous solution. Similar behavior is also exhibited by hydroxypropylcellulose (HPC).

Since the film former solution must be finely atomized during the coating of tablets, and the droplets which are formed must
40 thoroughly wet the surface of the tablets and must also spread well, the viscosity must not exceed a certain limit (between 150 and 250 mPas) which depends on the type of spray nozzle and the equipment. It is therefore possible in the case of HPMC to use only relatively low film former concentrations.

45

The recommendation given in the literature for the concentration of Pharmacoat® 606 (Shin-etsu) is 5 to 7% by weight (Pharmaceutical Coating Technology, edited by Graham Cole, Taylor and Francis Ltd. 1995 and manufacturer's technical data sheets).

- 5 These low spray concentrations result in relatively long processing times and thus high costs.

Moreover, hydroxypropylmethylcellulose has other disadvantages, inter alia in the wetting behavior, in the adhesiveness on the
10 tablet surface, in the pigment binding capacity, in the mechanical properties of the films, in the hygroscopicity, and in the permeability for water vapor and oxygen, in the rate of dissolution and in the difference in disintegration time between film-coated tablets and core.

15

- The low elasticity of the films made of hydroxypropylmethylcellulose frequently leads to the film-coated tablets splitting open during storage in damp conditions, as a consequence of the swelling of the core. Even the use of
20 plasticizers results in negligible improvements regarding this problem. On the contrary, it may lead to tacky films and, as a result of migration, to changes in the tablet properties.

- Oral drug forms which release the medicinal substance over an
25 extended period with the aim of prolonging the effect of the active component (generally slow release drug forms) are becoming increasingly important. They are advantageously associated with improved patient compliance as a result of having to be taken less frequently, a reduction in side effects as a result of the
30 avoidance of plasma peaks, more uniform blood levels of the medicinal substance, and the avoidance of local irritations. In addition to the formulation of medicinal substance-containing cores which have been coated with a water-insoluble, but semipermeable or pore-containing film through which the medicinal
35 substance diffuses, release can be controlled and prolonged by embedding the medicinal substance into matrices. Furthermore, the use of ion exchanger resins and therapeutic systems (e.g. OROS) is possible.

- 40 Embedding of the medicinal substance into hydrocolloid matrices in particular offers the advantages of a simple and low-cost manufacture and a high degree of drug safety since dose dumping effects cannot arise. The auxiliaries usually used for this purpose, such as hydroxypropylmethylcellulose (HPMC),
45 hydroxypropylcellulose, alginic acid or alginates, and xanthan have disadvantages on use. Those which may be mentioned are: inadequate flow properties which impede direct tableting, a

dependence of the release of medicinal substance on the osmolarity (salt content) and on the pH of the release medium. This applies equally to HPMC and to hydroxypropylcellulose, xanthan and alginates. The use of xanthan also leads to tablets of low hardness, and the direct tableting of alginates results in compacts with only slight release-slowing properties (max. 8 h). The natural swelling substances (e.g. alginates) show overall a wide variability between batches.

10 Binders are used in pharmaceutical presentations in order to increase the processability and the mechanical strength. They are usually used in tablets, granules and pellets and lead to improved flowability, higher breaking strength and lower friability.

15 The binders currently used, such as maltodextrin or polyvinylpyrrolidones, often result in unsatisfactory breaking strengths and friabilities. Other binders, such as starch paste and hydroxypropylmethylcellulose (HPMC), can be employed only in
20 low concentrations because of their high viscosity.

In addition, film-forming auxiliaries are used in solutions and sprays which are applied to the skin or mucous membrane or else introduced systemically into the body. Examples thereof are
25 preparations for wound treatment and spray-on dressings, but also preparations for application to intact skin or mucous membrane. Here, the skin is protected by a film, and the active ingredients can penetrate into or through the skin.

30 In the case of transdermal therapeutic systems and in the case of wound plasters, just as in the case of the abovementioned presentations, high flexibility is required, but the products available at present do not have this. The use of possible plasticizers for achieving the necessary flexibility is
35 undesirable for toxicological and pharmacological reasons.

It is an object of the present invention to provide water-soluble or water-dispersible polymers as coating agents, binders and/or film formers in pharmaceutical preparations which do not have the
40 disadvantages given above.

Surprisingly, we have found that the addition polymers according to the invention are suitable for use in pharmaceutical preparations.

They are suitable in particular as coating agents, binders and/or film formers in pharmaceutical preparations. They are suitable in particular in oral pharmaceutical preparations as matrix for the release of active ingredients.

5

The polymers according to the invention can be used in a large number of pharmaceutical preparations.

For example, it is possible to mention, as coated preparations, film-coated tablets, film-coated microtablets, sugar-coated tablets, coated pastilles, capsules, crystals, granules or pellets.

The binder-containing preparations are, for example, tablets, microtablets, cores, granules or pellets.

Furthermore, the polymers according to the invention can be used for the preparation of solutions and sprays which, applied to skin or mucous membrane, form a film. As a result of the enormous expandability and adhesiveness, the films adhere for a long period to the skin or mucous membrane. The application frequency can thus be reduced, and the comfort of wearing is increased. Examples thereof are spray-on dressings for wounds, disinfectant sprays, solutions with mycostatics, mouth sprays or solutions with antibiotics etc. Because of the flexibility, the use for transdermal therapeutic systems is also advantageous.

The polymers used according to the invention wet lipophilic surfaces readily and have excellent protective colloid properties. Incorporated into suspensions and emulsions, they attach themselves to the particles of the dispersed phase and stabilize it. They can therefore be used as wetting auxiliaries and stabilizers in disperse systems.

By interacting with medicinal substances which are sparingly soluble in water, they improve the solubility and rate of dissolution of said substances, as a result of which absorbability and bioavailability of the medicinal substances are improved. This advantageous effect is evident, for example, in presentations in which the active ingredient is not in dissolved form, such as, for example, tablets, granules, suspensions etc.

The polymers used according to the invention can, where appropriate also in combination with other auxiliaries, be processed together with active ingredients to give polymer/active ingredient melts which are either extruded or calendered to give medicinal substances or, after extrusion, are comminuted to give

granules or powders and only then processed to give drug forms, for example compressed to give tablets. Here, the polymers according to the invention bring the properties already listed above into the preparations.

5

In various pharmaceutical preparations, the polymers according to the invention satisfy the following functions in an excellent manner:

- 10 Dispersion auxiliary, suspending auxiliary, wetting agent, solubilizer for sparingly soluble medicinal substances, emulsifier, crystallization inhibitor, anticaking auxiliary, protective colloid, bioadhesive to prolong and intensify contact with the mucous membrane, spreading auxiliary, viscosity
- 15 regulator, auxiliary for preparing solid solutions with medicinal substances, auxiliary for adjusting the release of active ingredient in slow release formulations.

The polymers according to the invention which are insoluble or

- 20 only slightly soluble, but dispersible, in water can also be used as slow release polymers and as adhesives for active ingredient plasters.

When used to prepare suppositories and pessaries, the polymers on

- 25 the one hand ensure flexibility of the presentation, and on the other promote the disintegration and dissolution of active ingredient, and they coat the mucous membrane with an active ingredient-containing film which enhances absorption.

- 30 Tablets swell to varying degrees depending on the auxiliaries and active ingredients used, the storage time and the storage conditions, such as temperature and humidity. A rigid film coating suffers cracks during swelling of the core. For this reason, the elasticity of film formers is an important parameter.

35

The polymers can be applied in pure form or else together with conventional auxiliaries to the active ingredient-containing core. Examples of customary auxiliaries are colored pigments for imparting color, white pigments, such as titanium dioxide, for

- 40 increasing coverage, talc and silicon dioxide as nonstick agents, polyethylene glycols, glycerol, propylene glycol, triacetin, triethyl citrate as plasticizers and various surface-active substances, such as sodium lauryl sulfate, Polysorbate 80, Pluronic and Cremophores, to improve the wetting behavior. The
- 45 substances given by way of example do not represent a limitation.

20250707 10069463 022502

It is possible to use all known additives suitable for film coatings which are soluble in gastric fluid.

Coating processes which can be used are the customary processes, such as coating in a fluidized bed or in a horizontal drum coater, the dip-coating process and the pan-coating process. In addition to the use on tablets, the polymers according to the invention can also be used for the coating of other pharmaceutical preparations, such as granules, pellets, crystals or capsules. The novel coating agents are applied in a conventional manner in a thickness of from 5 to 200 μm , preferably 10 to 100 μm .

In the case of use as binders, a distinction is made between wet and dry binders depending on the processing method. The latter are used inter alia for direct tableting and for dry granulation and compaction. Here, the binder is mixed with the active ingredient and optionally further auxiliaries and then directly tableted, or granulated and compacted.

In contrast thereto, in wet granulation the active ingredient/auxiliary mixture is moistened with a solution of the binder in water or an organic solvent, and the moist mass is passed through a sieve and then dried. Moistening and drying may also take place in parallel, such as, for example, in fluidized-bed granulation.

For optimal processing, the binder should have a low viscosity in solution since viscous solutions lead to inhomogeneous granules.

A binder should lead to uniform, hard, abrasion-resistant granules or tablets. In the case of tablets in particular, the breaking strength is of particular importance since many active ingredients are difficult to compress and thus give tablets with insufficient mechanical stability.

Furthermore, the disintegration of the medicinal presentations, and the rate of release of the active ingredients should be notably adversely affected by the binder.

The most common binders are, for example, polyvinylpyrrolidone, vinyl acetate/vinylpyrrolidone copolymers, gelatine, starch paste, maltodextrins, hydroxyalkylated or carboxyalkylated cellulose derivatives, such as hydroxypropylmethylcellulose, methylcellulose, sodium carboxymethylcellulose, and natural gum types, such as, for example, gum arabic, pectin or alginate.

Many of these binders have a high viscosity in solution and are difficult to process. As a result of the high viscosity, the powder particles to be granulated are poorly and nonuniformly wetted, resulting in a granule strength which is too low and in a particle size distribution which is unfavorable.

In addition, many binders are hygroscopic and swell on absorption of water. This may drastically alter the granule and tablet properties.

10

It has now been found, surprisingly, that the polymers according to the invention have excellent effects as binders and, moreover, have a negligible effect on disintegration in concentration ranges from 0.5 to 20% by weight, preferably 1 to 10% by weight, of the total amount of the formulation. In addition, because of the good wetting behavior, it is possible to improve the release of sparingly soluble active ingredients.

15

When the polymers are used as binders, the resulting granules and tablets are exceptionally mechanically stable and are also stable over long storage periods.

20

It is a further object of the present invention to provide new types of preparations which permit, in particular, improved hair care and skin care.

25

Of particular interest was also the provision of preparations which have improved film formations. This was of interest both for pharmaceutical preparations and also for cosmetic preparations, such as, in particular, UV light protection agents and decorative cosmetics. The intention here was firstly to obtain storage-stable preparations which can be formulated without severe restrictions, and secondly an increase in the effect of the pharmaceutical and/or cosmetic ingredient was desired.

30

35

In particular, the performance properties of hair care compositions were to be improved.

40

We have found that these objects are achieved by preparations comprising at least one polymer obtainable by free-radical polymerization of a monomer mixture of ethylenically unsaturated monomers in the presence of polyalkylene oxide-containing silicones and another polymer.

45

The invention provides preparations which comprise these polymers and another polymer, the other polymer being chosen from the group formed from

- polyvinylpyrrolidones;
 polyvinylcaprolactams;
 polyurethanes;
 copolymers of acrylic acid, methyl methacrylate,
 5 octylacrylamide, butylaminoethyl methacrylate and
 hydroxypropyl methacrylate;
 copolymers of tert-butyl acrylate, ethyl acrylate and
 methacrylic acid;
 copolymers of ethyl acrylate and methacrylic acid;
 10 copolymers of N-tert-butylacrylamide, ethyl acrylate and
 acrylic acid;
 copolymers of vinyl acetate and crotonic acid and/or (vinyl)
 neodecanoate;
 copolymers of vinyl acetate and/or vinyl propionate and
 15 N-vinylpyrrolidone.

Surprisingly, we have found that preparations which comprise the
 polymers in combination with these other polymers have unexpected
 properties. The preparations according to the invention are
 20 superior to the prior art preparations with regard, in
 particular, to their skin care and hair care properties. In
 addition, they have very good film-forming and setting
 properties.

25 Copolymers of tert-butyl acrylate, ethyl acrylate and methacrylic
 acid (INCI name: Acrylates Copolymer) are available, for example,
 as the commercial products Luvimer™ 100 P, Luvimer™ 36 D,
 Luvimer™ 30 E (BASF).

30 Copolymers of ethyl acrylate and methacrylic acid (INCI name:
 Acrylates Copolymer) are available, for example, as the
 commercial products Luviflex™ Soft (BASF).

Copolymers of N-tert-butylacrylamide, ethyl acrylate and acrylic
 35 acid (INCI name: Acrylates/Acrylamide Copolymer) are available,
 for example, as the commercial products Ultrahold Strong™,
 Ultrahold 8™ (BASF).

Polyvinylpyrrolidones (INCI name: PVP) are available, for
 40 example, under the trade names Luviskol K™, Luviskol K 30™
 (BASF) and PVP K (ISP).

Polyvinylcaprolactams (INCI: Polyvinylcaprolactams) are
 available, for example, under the trade name Luviskol Plus™
 45 (BASF).

203220 E34590T

5

10

15 Polyurethanes (INCI: Polyurethane -1) are available, for example,
under the trade name Luviset™ PUR.

20 Octylacrylamide/Acrylates/Butylaminoethyl Methacrylate Copolymer) are known, for example, under the trade names Amphomer™ 28-4910 and Amphomer™ LV-71 (National Starch).

The invention further relates to the use of these preparations in cosmetic and/or pharmaceutical preparations.

The light protection filters used in cosmetic and pharmaceutical preparations have the task of preventing or at least diminishing the extent of the harmful effects of sunlight on the human skin. In addition, these light protection filters, however, also serve to protect their ingredients from decomposition or degradation by UV radiation. In hair cosmetic formulations, the aim is to prevent damage to the keratin fibers by UV rays.

The sunlight which reaches the Earth's surface has a proportion of UV-B radiation (280 to 320 nm) and of UV-A radiation (u320 nm), which directly border the visible light region. The effect on the human skin is evident, particularly in the case of UV-B radiation, from sunburn.

The erythema activity maximum of sunlight is given as the relatively narrow region around 308 nm.

To protect against UVB radiation, numerous compounds are known; these are, inter alia, derivatives of 3-benzylidenecamphor, of 4-aminobenzoic acid, of cinnamic acid, of salicylic acid, of benzophenone, and of 2-phenylbenzimidazole.

For the region between about 320 nm and about 400 nm, the UVA region, it is also important to have available filter substances since the rays of that region can trigger reactions in cases of light-sensitive skin. It has been found that UVA radiation leads to damage of the elastic and collagenous fibers of connective tissue, which leads to premature aging of the skin, and that it is to be regarded as a cause of numerous phototoxic and photoallergic reactions. The harmful effect of UVB radiation can be intensified by UVA radiation.

Although a large number of preparations containing UV light protection filters are known in the prior art, there is a need for improved preparations with regard to the following properties: stability of the formulations, stability of the UV light protection filters, attainment of the highest possible light protection factors coupled with the lowest possible concentrations of UV light protection filters. The prior art preparations have, in particular, unsatisfactory adhesion of the UV light protection filters to skin and hair.

This means that a lasting protection of skin and hair cannot be ensured. One object is therefore to provide preparations which do not have said disadvantages. Moreover, it should be possible to incorporate the preparations into customary formulations without problems.

These objects are achieved by preparations comprising

- polymer obtainable by free-radical polymerization of a monomer mixture of

(a) ethylenically unsaturated monomers

(b) polyalkylene oxide-containing silicone derivatives

- UV light protection filters.

45

UV light protection filters which may be used are oil-soluble organic UV-A filters and/or UV-B filters and/or water-soluble organic UV-A filters and/or UV-B filters. The total amount of UV light protection filters is usually 0.1% by weight to 30% by weight, preferably 0.5 to 15% by weight, in particular 1 to 10% by weight, based on the total weight of the preparations.

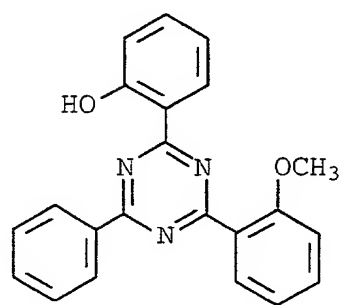
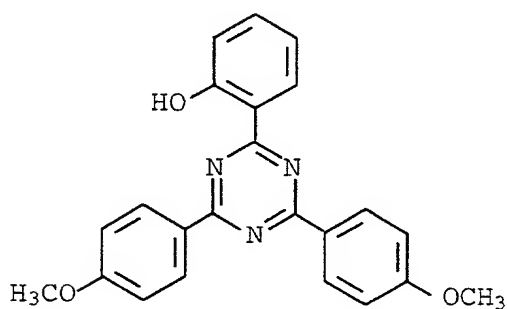
The UV light protection filters are advantageously chosen such that the preparations protect the skin from the entire range of ultraviolet radiation.

Examples thereof are:

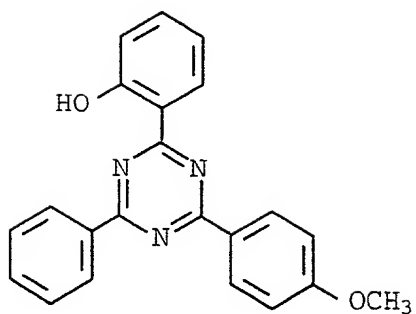
No.	Substance	CAS No. (=acid)
15	1 4-Aminobenzoic acid	150-13-0
	2 3-(4'-Trimethylammonium) benzyldenebornan-2-one methylsulfate	52793-97-2
20	3 3,3,5-Trimethylcyclohexyl salicylate (homosalate)	118-56-9
	4 2-Hydroxy-4-methoxybenzophenone (oxybenzone)	131-57-7
	5 2-Phenylbenzimidazole-5-sulfonic acid and its potassium, sodium and triethanolamine salts	27503-81-7
25	6 3,3'-(1,4-Phenylenedimethine)bis(7,7-dimethyl-2-oxobicyclo[2.2.1]heptane-1-methanesulfonic acid) and its salts	90457-82-2
	7 Polyethoxyethyl 4-bis(polyethoxy)aminobenzoate	113010-52-9
	8 2-Ethylhexyl 4-dimethylaminobenzoate	21245-02-3
30	9 2-Ethylhexyl salicylate	118-60-5
	10 4-Isoamyl 4-methoxycinnamate	71617-10-2
	11 2-Ethylhexyl 4-methoxycinnamate	5466-77-3
	12 2-Hydroxy-4-methoxybenzophenone-5-sulfonic acid (sulisobenzene) and the sodium salt	4065-45-6
35	13 3-(4'-Sulfo)benzyldenebornan-2-one and salts	58030-58-6
	14 3-Benzylidenebornan-2-one	16087-24-8
	15 1-(4'-Isopropylphenyl)-3-phenylpropane-1,3-dione	63260-25-9
40	16 4-Isopropylbenzyl salicylate	94134-93-7
	17 2,4,6-Triamino-1,3,5-triazine-2-carboxylic acid ethyl ester	88122-99-0
	18 3-Imidazol-4-ylacrylic acid and its ethyl ester	104-98-3
45	19 Menthyl o-aminobenzoate or: 5-methyl-2-(1-methylethyl)-2-aminobenzoate	134-09-8
	20 Glyceryl p-aminobenzoate or: 1-glyceryl 4-aminobenzoate	136-44-7

No.	Substance	CAS No. (=acid)
21	2,2'-Dihydroxy-4-methoxybenzophenone (dioxybenzone)	131-53-3
22	2-Hydroxy-4-methoxy-4-methylbenzophenone (mexenone)	1641-17-4
23	Triethanolamine salicylate	2174-16-5
24	Dimethoxyphenylglyoxalic acid or: sodium 3,4-dimethoxyphenylglyoxalate	4732-70-1
25	3-(4'-Sulfo)benzylidenebornan-2-one and its salts	56039-58-8
26	2,2',4,4'-Tetrahydroxybenzophenone	131-55-5
27	2,2'-Methylenebis[6(2H-benzotriazol-2-yl)-4-(1,1,3,3-tetramethylbutyl)phenol]	103597-45-1
28	2,2'-(1,4-Phenylene)bis-1H-benzimidazole-4,6-disulfonic acid, Na salt	180898-37-7
29	2,4-Bis[4-(2-ethylhexyloxy)-2-hydroxy]phenyl-6-(4-methoxyphenyl)-(1,3,5) triazine	187393-00-6
30	3-(4-Methylbenzylidene)camphor	36861-47-9
31	Polyethoxyethyl 4-bis(polyethoxy)paraaminobenzoate	113010-52-9
32	2,4-Dihydroxybenzophenone	131-56-6
33	2,2'-Dihydroxy-4,4'-dimethoxybenzophenone-5,5'-disodium sulfonate	3121-60-6

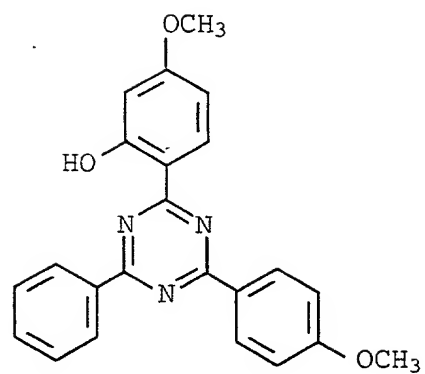
Other combinable light protection agents are, inter alia, the following compounds:



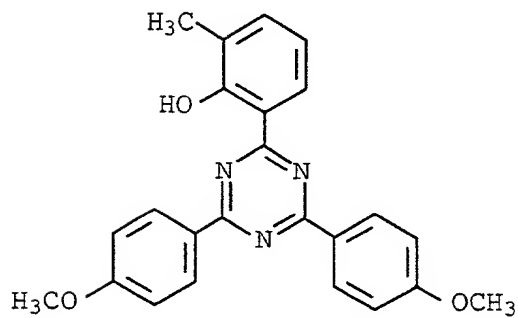
5



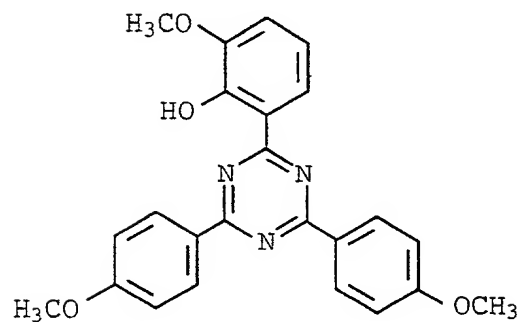
10



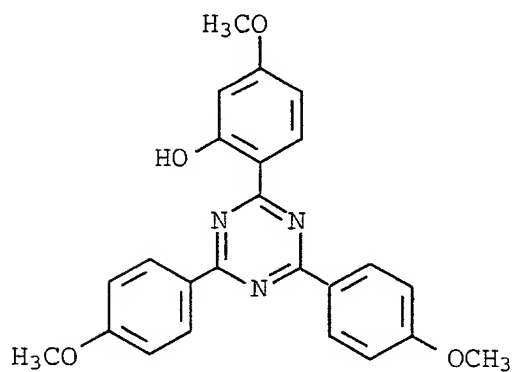
15



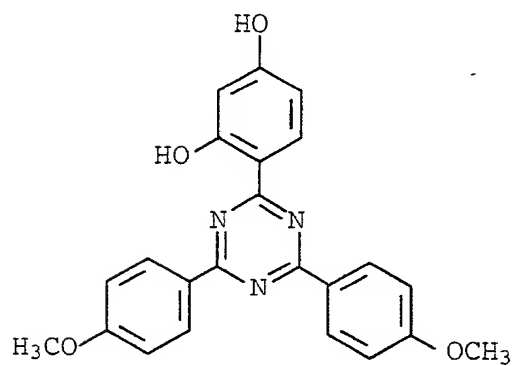
20



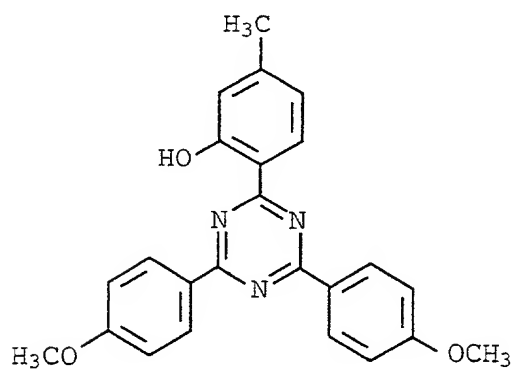
25



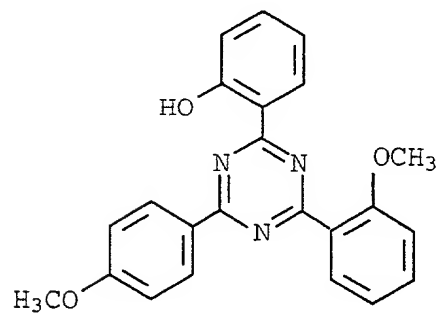
30



35



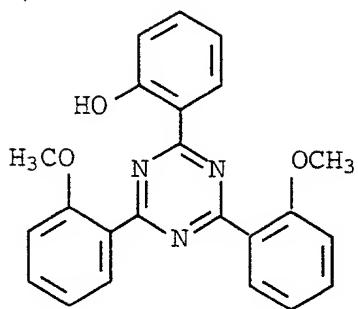
40



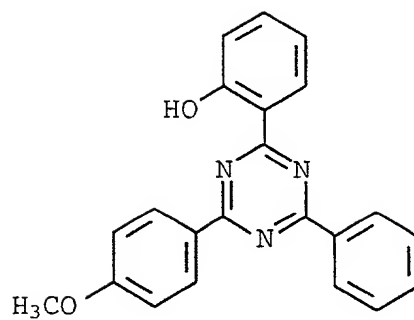
45

20220320 10:46:00

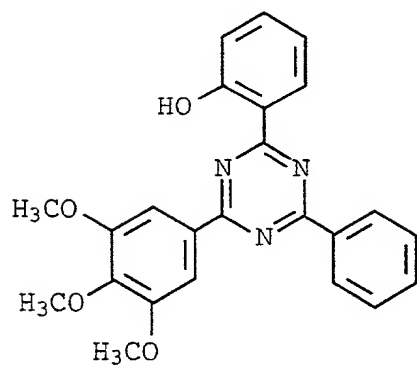
5



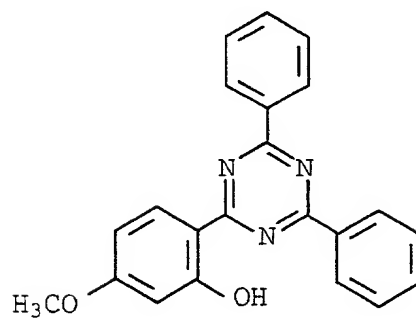
10



15

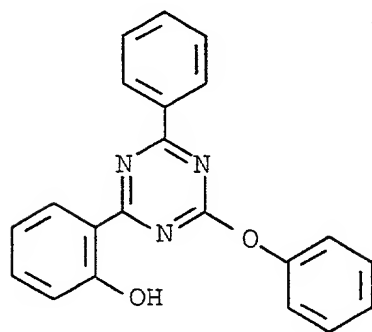


20

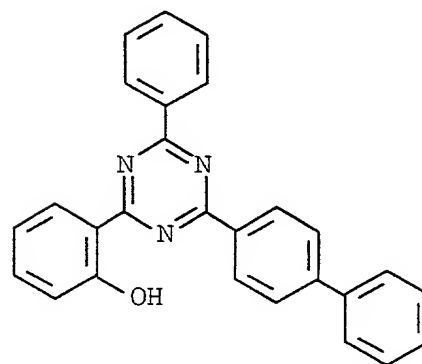


25

30



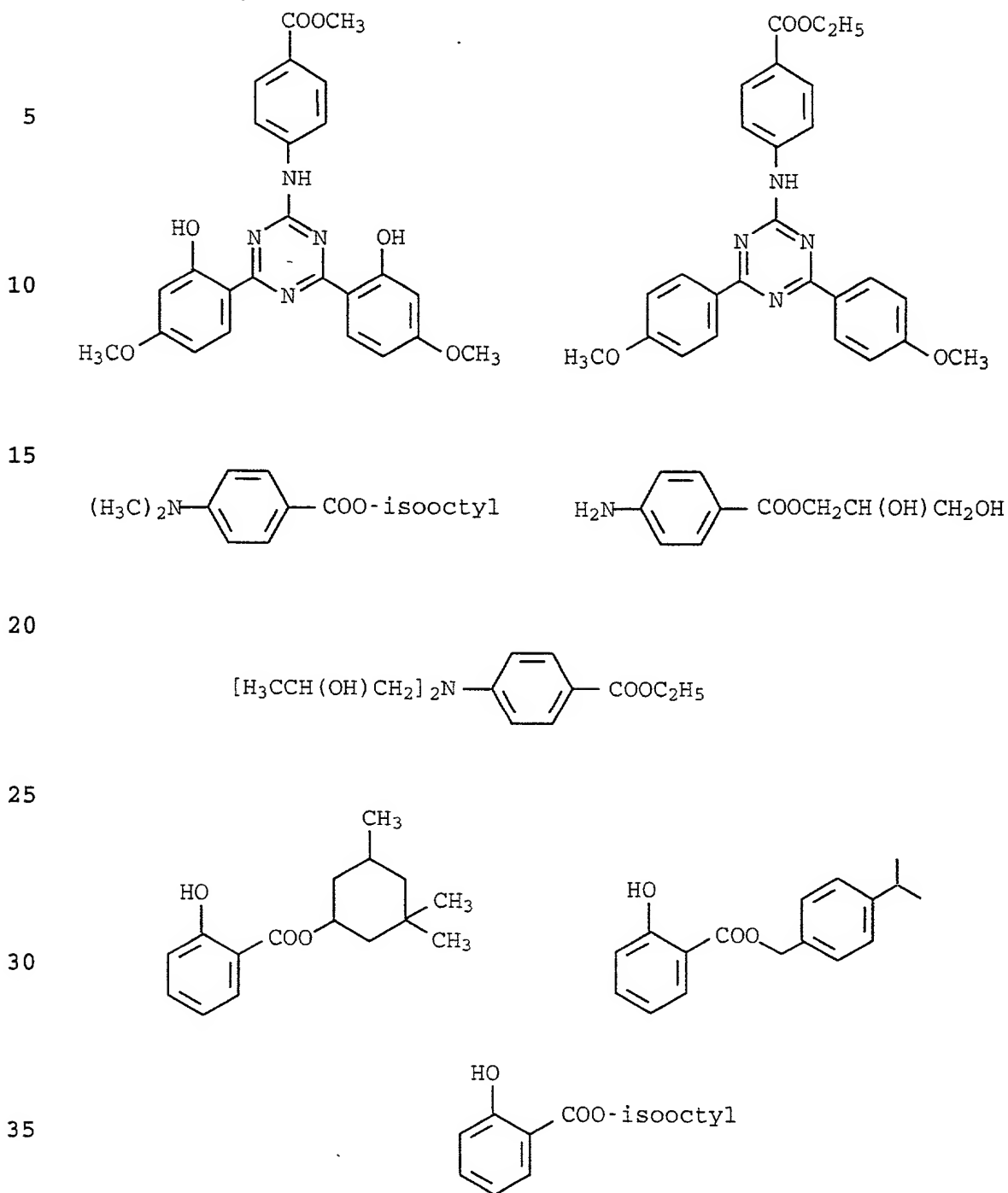
35



40

45

2025-03-03 10:05:43



The list of UV light protection filters mentioned which can be used in combination with the addition polymers according to the invention is not of course intended to be limiting.

The invention further provides for the use of these preparations in cosmetic and/or pharmaceutical preparations.

Polymers

Suitable polymerizable monomers (a) are ethylenically unsaturated monomers. In this connection, it is possible to use either
 5 individual monomers or combinations of two or more monomers.

Monomers which can be polymerized with a reaction initiated by free radicals are preferred. The term ethylenically unsaturated means that the monomers have at least one polymerizable
 10 carbon-carbon double bond which can be mono-, di-, tri- or tetrasubstituted.

The ethylenically unsaturated monomers (a) can be described by the following formula:

15



where

20 X is chosen from the group of radicals -OH, -OM, -OR⁸, NH₂, -NHR⁸, N(R⁸)₂;

M is a cation chosen from the group consisting of: Na⁺, K⁺, Mg⁺⁺, Ca⁺⁺, Zn⁺⁺, NH₄⁺, alkylammonium, dialkylammonium, trialkylammonium
 25 and tetraalkylammonium;

the radicals R⁸ may be identical or different chosen from the group consisting of -H, C₁-C₄₀ linear or branched-chain alkyl radicals, N,N-dimethylaminoethyl, 2-hydroxyethyl, 2-methoxyethyl,
 30 2-ethoxyethyl, hydroxypropyl, methoxypropyl or ethoxypropyl.

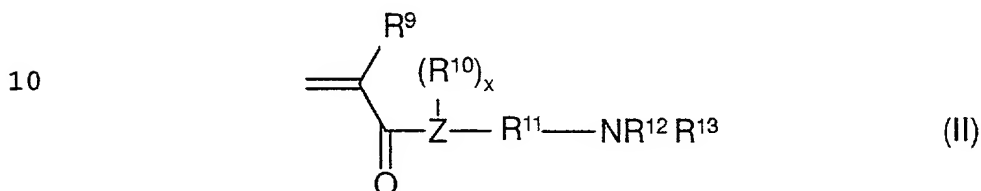
R⁷ and R⁶ are, independently of one another, chosen from the group consisting of: -H, C₁-C₈ linear or branched-chain alkyl chains, methoxy, ethoxy, 2-hydroxyethoxy, 2-methoxyethoxy and
 35 2-ethoxyethyl.

Representative but nonlimiting examples of suitable monomers (a) are, for example, acrylic acid and salts thereof, esters and amides. The salts can be derived from any desired nontoxic metal,
 40 ammonium or substituted ammonium counterions.

The esters can be derived from C₁-C₄₀ linear, C₃-C₄₀ branched-chain or C₃-C₄₀ carbocyclic alcohols, from polyfunctional alcohols having 2 to about 8 hydroxyl groups, such as ethylene glycol,
 45 hexylene glycol, glycerol, and 1,2,6-hexanetriol, from

aminoalcohols or from alcohol ethers, such as methoxyethanol and ethoxyethanol, or polyethylene glycols.

Also suitable are N,N-dialkylaminoalkyl acrylates and
5 methacrylates and N-dialkylaminoalkylacryl- and -methacrylamides of the formula (II)



15 where R^9 = H, alkyl having 1 to 8 carbon atoms,

R^{10} = H, methyl,

R^{11} = alkylene having 1 to 24 carbon atoms, optionally substituted by alkyl,

$\text{R}^{12}, \text{R}^{13}$ = C_1 - C_{40} alkyl radical,

20 Z = nitrogen where $x = 1$, or oxygen where $x = 0$

The amides can be unsubstituted, N-alkyl- or N-alkylamino mono-substituted, or N,N-dialkyl-substituted or N,N-dialkylamino-disubstituted, wherein the alkyl or alkylamino
25 groups are derived from C_1 - C_{40} linear, C_3 - C_{40} branched-chain or C_3 - C_{40} carbocyclic units. Additionally, the alkylamino groups can be quaternized.

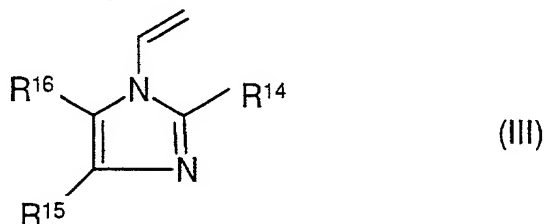
Preferred monomers of the formula II are N,N-dimethylaminomethyl
30 (meth)acrylate, N,N-diethylaminomethyl (meth)acrylate, N,N-dimethylaminoethyl (meth)acrylate, N,N-diethylaminoethyl (meth)acrylate.

Further monomers (a) which can be used are substituted acrylic
35 acids, and salts, esters and amides thereof, where the substituents on the carbon atoms are in the two or three position of the acrylic acid, and, independently of one another, are chosen from the group consisting of C_1 - C_4 alkyl, -CN, COOH, particularly preferably methacrylic acid, ethacrylic acid and
40 3-cyanoacrylic acid. These salts, esters and amides of these substituted acrylic acids can be chosen as described above for the salts, esters and amides of acrylic acid.

Other suitable monomers (a) are vinyl and allyl esters of C_1 - C_{40}
45 linear, C_3 - C_{40} branched-chain or C_3 - C_{40} carbocyclic carboxylic acids (e.g.: vinyl acetate, vinyl propionate, vinyl neononanoate, vinyl neoundecanoate or vinyl t-butylbenzoate); vinyl or allyl

halides, preferably vinyl chloride and allyl chloride, vinyl ethers, preferably methyl, ethyl, butyl or dodecyl vinyl ethers, vinyl formamide, vinylmethacrylamide, vinylamine; vinyl lactams, preferably vinylpyrrolidone and vinylcaprolactam, vinyl- or allyl-substituted heterocyclic compounds, preferably vinylpyridine, vinyloxazoline and allylpyridine.

Also suitable are N-vinylimidazoles of the formula III, in which R^{14} to R^{16} , independently of one another, are hydrogen, C_1 - C_4 -alkyl or phenyl:



Further suitable monomers (a) are diallylamines of the formula (IV)



where $R^{17} = C_1$ - C_{24} alkyl

Further suitable monomers (a) are vinylidene chloride; and hydrocarbons having at least one carbon-carbon double bond, preferably styrene, alpha-methylstyrene, tert-butylstyrene, butadiene, isoprene, cyclohexadiene, ethylene, propylene, 1-butene, 2-butene, isobutylene, vinyltoluene, and mixtures of these monomers.

Particularly suitable monomers (a) are acrylic acid, methacrylic acid, ethylacrylic acid, methyl acrylate, ethyl acrylate, propyl acrylate, n-butyl acrylate, isobutyl acrylate, t-butyl acrylate, 2-ethylhexyl acrylate, decyl acrylate, methyl methacrylate, ethyl methacrylate, propyl methacrylate, n-butyl methacrylate, isobutyl methacrylate, t-butyl methacrylate, 2-ethylhexyl methacrylate, decyl methacrylate, methyl ethacrylate, ethyl ethacrylate, n-butyl ethacrylate, isobutyl ethacrylate, t-butyl ethacrylate, 2-ethylhexyl ethacrylate, decyl ethacrylate, 2,3-dihydroxypropyl acrylate, 2,3-dihydroxypropyl methacrylate, 2-hydroxyethyl acrylate, hydroxypropyl acrylate, 2-hydroxyethyl methacrylate, 2-hydroxyethyl ethacrylate, 2-methoxyethyl acrylate, 2-methoxyethyl methacrylate, 2-methoxyethyl ethacrylate,

2-ethoxyethyl methacrylate, 2-ethoxyethyl ethacrylate, hydroxypropyl methacrylate, glyceryl monoacrylate, glyceryl monomethacrylate, polyalkylene glycol (meth)acrylates, unsaturated sulfonic acids, such as, for example,
 5 acrylamidopropanesulfonic acid;

- acrylamide, methacrylamide, ethacrylamide, N-methylacrylamide, N,N-dimethylacrylamide, N-ethylacrylamide, N-isopropylacrylamide, N-butylacrylamide, N-t-butylacrylamide, N-octylacrylamide,
 10 N-t-octylacrylamide, N-octadecylacrylamide, N-phenylacrylamide, N-methylmethacrylamide, N-ethylmethacrylamide, N-dodecylmethacrylamide, 1-vinylimidazole, 1-vinyl-2-methylimidazole, N,N-dimethylaminomethyl (meth)acrylate, N,N-diethylaminomethyl (meth)acrylate,
 15 N,N-dimethylaminoethyl (meth)acrylate, N,N-diethylaminoethyl (meth)acrylate, N,N-dimethylaminobutyl (meth)acrylate, N,N-diethylaminobutyl (meth)acrylate, N,N-dimethylaminoethyl (meth)acrylate, N,N-dimethylaminooctyl (meth)acrylate, N,N-dimethylaminododecyl (meth)acrylate,
 20 N-[3-(dimethylamino)propyl]methacrylamide, N-[3-(dimethylamino)propyl]acrylamide, N-[3-(dimethylamino)butyl]methacrylamide, N-[8-(dimethylamino)octyl]methacrylamide, N-[12-(dimethylamino)dodecyl]methacrylamide,
 25 N-[3-(diethylamino)propyl]methacrylamide, N-[3-(diethylamino)propyl]acrylamide;

maleic acid, fumaric acid, maleic anhydride and its monoesters, crotonic acid, itaconic acid, diallyldimethylammonium chloride,
 30 vinyl ethers (for example: methyl, ethyl, butyl or dodecyl vinyl ethers), vinylformamide, vinylmethylacetamide, vinylamine; methyl vinyl ketone, maleimide, vinylpyridine, vinylimidazole, vinylfuran, styrene, styrene sulfonate, allyl alcohol, and mixtures thereof.

35

- Of these, particular preference is given to acrylic acid, methacrylic acid, maleic acid, fumaric acid, crotonic acid, maleic anhydride and monoesters thereof, methyl acrylate, methyl methacrylate, ethyl acrylate, ethyl methacrylate, n-butyl
 40 acrylate, n-butyl methacrylate, t-butyl acrylate, t-butyl methacrylate, isobutyl acrylate, isobutyl methacrylate, 2-ethylhexyl acrylate, N-t-butylacrylamide, N-octylacrylamide, 2-hydroxyethyl acrylate, hydroxypropyl acrylate, 2-hydroxyethyl methacrylate, hydroxypropyl methacrylate, alkylene glycol
 45 (meth)acrylates, unsaturated sulfonic acids, such as, for example, acrylamidopropanesulfonic acid, vinylpyrrolidone, vinylcaprolactam, vinyl ethers (e.g.: methyl, ethyl, butyl or

dodecyl vinyl ethers), vinylformamide, vinylmethacrylamide, vinylamine, 1-vinylimidazole, 1-vinyl-2-methylimidazole, N,N-dimethylaminomethyl methacrylate and N-[3-(dimethylamino)propyl]methacrylamide;

- 5 3-methyl-1-vinylimidazolium chloride, 3-methyl-1-vinylimidazolium methyl sulfate, N,N-dimethylaminoethyl methacrylate, N-[3-(dimethylamino)propyl]methacrylamide quaternized with methyl chloride, methyl sulfate or diethyl sulfate.

- 10 In a very particularly preferred embodiment, the monomers (a) used are t-butyl acrylate (=a1) and methacrylic acid (=a2).

Monomers containing a basic nitrogen atom can be quaternized here in the following way:

15

For quaternizing the amines, alkyl halides having 1 to 24 carbon atoms in the alkyl group, e.g. methyl chloride, methyl bromide, methyl iodide, ethyl chloride, ethyl bromide, propyl chloride, hexyl chloride, dodecyl chloride, lauryl chloride and benzyl

- 20 halides, in particular benzyl chloride and benzyl bromide, are suitable. Further suitable quaternizing agents are dialkyl sulfates, in particular dimethyl sulfate or diethyl sulfate. The quaternization of the basic amines can also be carried out with alkylene oxides, such as ethylene oxide or propylene oxide, in
25 the presence of acids. Preferred quaternizing agents are: methyl chloride, dimethyl sulfate or diethyl sulfate.

In a preferred embodiment, the monomers (a) used are (meth)acrylates.

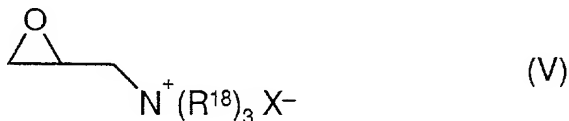
30

The quaternization can be carried out before the polymerization or after the polymerization.

Moreover, the reaction products of unsaturated acids, such as,

- 35 for example, acrylic acid or methacrylic acid, with a quaternized epichlorohydrin of the formula (V) can be used ($R^{18} = C1$ to $C40$ alkyl).

40



45

Examples thereof are:

(meth)acryloyloxyhydroxypropyltrimethylammonium chloride and
(meth)acryloyloxyhydroxypropyltriethylammonium chloride.

5 The basic monomers can also be cationized, by neutralizing them with mineral acids, such as, for example, sulfuric acid, hydrochloric acid, hydrobromic acid, hydroiodic acid, phosphoric acid or nitric acid, or with organic acids, such as, for example, formic acid, acetic acid, lactic acid or citric acid.

10

In addition to the abovementioned monomers, it is also possible to use, as monomers (a), macromonomers, such as, for example, silicone-containing macromonomers containing one or more free-radically polymerizable groups, or alkyloxazoline

15 macromonomers, as are described, for example, in EP 408 311.

Furthermore, it is also possible to use fluorine-containing monomers, as are described, for example, in EP 558423, or compounds which have a crosslinking action or regulate the
20 molecular weight, in combination or alone.

Regulators which can be used are the customary compounds known to the person skilled in the art, such as, for example, sulfur compounds (e.g.: mercaptoethanol, 2-ethylhexyl thioglycolate,
25 thioglycolic acid or dodecyl mercaptan), and tribromochloromethane or other compounds which have a regulating effect on the molecular weight of the resulting addition polymers. Where appropriate, it is also possible to use thiol-containing silicone compounds. Preference is given to using
30 silicone-free regulators.

Crosslinking monomers which can be used are compounds having at least two ethylenically unsaturated double bonds, such as, for example, esters of ethylenically unsaturated carboxylic acids,
35 such as acrylic acid or methacrylic acid and polyhydric alcohols, ethers of at least dihydric alcohols, such as, for example, vinyl ether or allyl ether. Also suitable are straight-chain or branched, linear or cyclic aliphatic or aromatic hydrocarbons which have at least two double bonds which, in the case of the
40 aliphatic hydrocarbons, must not be conjugated. Also suitable are amides of acrylic and methacrylic acid and N-allylamines of at least difunctional amines, such as, for example, 1,2-diaminoethane and 1,3-diaminopropane. Also suitable are triallylamine or corresponding ammonium salts, N-vinyl compounds
45 or urea derivatives, at least difunctional amides, cyanurates or

2006946 "02302"

urethanes. Other suitable crosslinkers are divinylldioxane, tetraallylsilane or tetravinylsilane.

Particularly preferred crosslinkers are, for example,

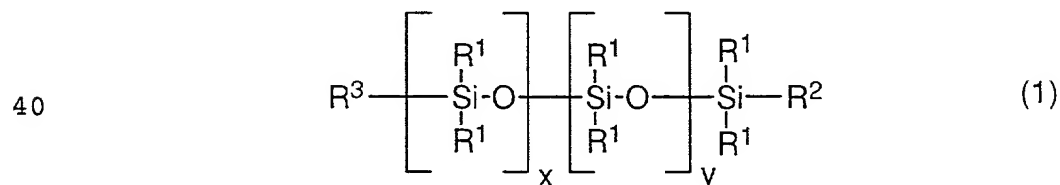
- 5 methylenebisacrylamide, triallylamine and triallylammonium salts, divinylimidazole, N,N'-divinylethyleneurea, reaction products of polyhydric alcohols with acrylic acid or methacrylic acid, methacrylic esters and acrylic esters of polyalkylene oxides or polyhydric alcohols which have been reacted with ethylene oxide
10 and/or propylene oxide and/or epichlorohydrin.

- The monomers (a) according to the invention can, if they contain ionizable groups, be partially or completely neutralized using acids or bases, before or after the polymerization, in order
15 thus, for example, to adjust the water-solubility or -dispersibility to a desired level.

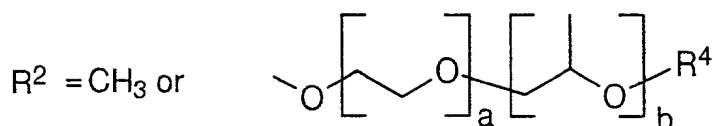
- Neutralizing agents for acid-group-carrying monomers which can be used are, for example, mineral bases, such as sodium carbonate,
20 alkali metal hydroxides, and ammonia, organic bases, such as aminoalcohols, specifically 2-amino-2-methyl-1-propanol, monoethanolamine, diethanolamine, triethanolamine, triisopropanolamine, tri[(2-hydroxy)-1-propyl]amine, 2-amino-2-methyl-1,3-propanediol,
25 2-amino-2-hydroxymethyl-1,3-propanediol, and diamines, such as, for example lysine.

- Neutralizing agents for cationizable-group-carrying monomers which can be used are, for example, mineral acids, such as
30 hydrochloric acid, sulfuric acid or phosphoric acid, and organic acids, such as carboxylic acids, lactic acid, citric acid or others.

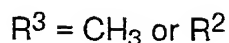
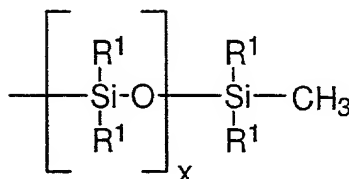
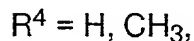
- Particularly suitable polyalkylene oxide-containing silicone derivatives (b) are those which contain the following structural elements:



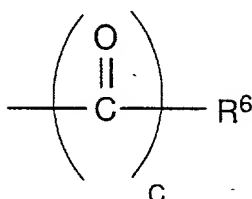
where:



5

10 R^2 

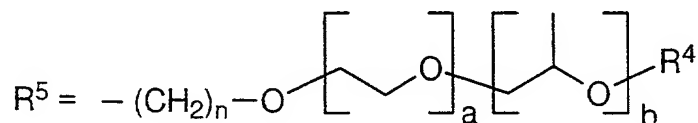
15



20 R^6 is an organic radical having 1 to 40 carbon atoms which can contain amino, carboxylic acid or sulfonate groups, or, for the case $c=0$, is also the anion of an inorganic acid,

and where the radicals R^1 may be identical or different, and
 25 either originate from the group of aliphatic hydrocarbons having 1 to 20 carbon atoms, are cyclic aliphatic hydrocarbons having 3 to 20 carbon atoms, are of an aromatic nature or are identical to R^5 , where:

30



with the proviso that at least one of the radicals R^1 , R^2 or R^3 is
 35 a polyalkylene oxide-containing radical according to the above definition,

and n is an integer from 1 to 6,

x and y are integers such that the molecular weight of the polysiloxane block is between 300 and 30,000,

40 a , b may be integers between 0 and 50, with the proviso that the sum of a and b is greater than 0, and c is 0 or 1.

Preferred radicals R^2 and R^5 are those in which the sum $a+b$ is between 5 and 30.

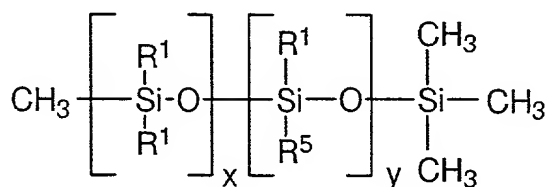
45

The groups R^1 are preferably chosen from the following group: methyl, ethyl, propyl, butyl, isobutyl, pentyl, isopentyl, hexyl, octyl, decyl, dodecyl and octadecyl, cycloaliphatic radicals, specifically cyclohexyl, aromatic groups, specifically phenyl or naphthyl, mixed aromatic-aliphatic radicals, such as benzyl or phenylethyl, and tolyl and xylyl and R^5 .

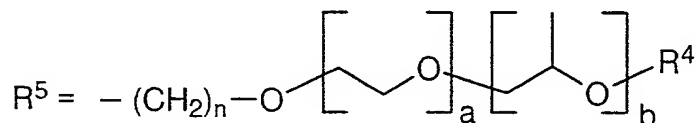
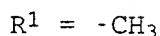
Particularly suitable radicals R^4 are those in which, in the case where $R^4 = -(CO)_c-R^6$, R^6 is any alkyl, cycloalkyl or aryl radical which has between 1 and 40 carbon atoms and which can carry further ionogenic groups, such as NH_2 , $COOH$ and SO_3H .

Preferred inorganic radicals R^6 are, where $c=0$, phosphate and sulfate.

In a particularly preferred embodiment of the present invention, compounds according to the following formula are used as polyalkylene oxide-containing silicone derivative (b):



where



$R^4 = -H$; $-COCH_3$, alkyl with C_1-C_4

$n = 1$ to 6 , in particular 2 to 4 , preferably 3

x and y are integers such that the molecular weight of the polysiloxane block is between 1000 and 5000 , a , b may be integers between 0 and 50 , with the proviso that the sum of a and b is greater than 0 .

Such particularly preferred silicone derivatives are known under the CAS No. $872\ 44-72-2$. Commercial products are those available under the names Belsil DMC 6031TM (Wacker), Dabco DC 193,

Dabco DC 5357, Dow Corning 198TM, Dow Corning 5039TM and Silwet 7600TM (Witco).

Such particularly preferred silicone derivatives are known under the CAS No. 71965-38-3. Commercial products which are available are those under the names Abil B 8842, Abil B 8843, Silwet L 7607 (Witco).

Also particularly preferred are those silicone derivatives available under the trade names Belsil DMC 6032TM (Wacker) and Dow Corning 190TM (Dow Chemicals).

The monomers (a) of the polysiloxane-containing polymers of the present invention may constitute from 50 to 99.9% by weight, preferably 70 to 99% by weight, particularly preferably 85 to 98% by weight. If the ethylenically unsaturated monomers (a) are used as a combination of two monomers (a1 and a2), it has proven advantageous to use 49.5 to 99% by weight of (a1) and 0.5 to 40% by weight of (a2).

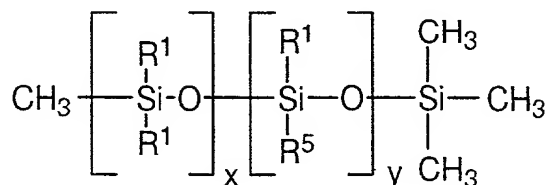
The silicone derivatives (b) are usually present in the addition polymer according to the invention in amounts of from 0.1 to 50% by weight, preferably from 0.5 to 20% by weight, particularly preferably from 2 to 15% by weight.

In a particularly preferred embodiment, a polymer is used which is obtainable by free-radical polymerization of a monomer mixture of

(a1) 49.5 to 99% by weight of (meth)acrylate, in particular tert-butyl acrylate

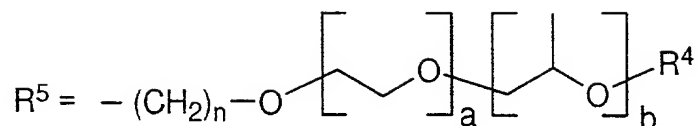
(a2) 0.5 to 40% by weight of another (meth)acrylate, in particular methacrylic acid

(b) 0.5 to 20% by weight of a polyalkylene oxide-containing silicone derivative according to the following formula:



where

$\text{R}^1 = -\text{CH}_3$



5

$\text{R}^4 = -\text{H}; -\text{COCH}_3$, alkyl with $\text{C}_1\text{-C}_4$

$n = 1$ to 6 , in particular 2 to 4 , preferably 3

10 x and y are integers such that the molecular weight of the polysiloxane block is between 1000 and 5000 ,

a, b may be integers between 0 and 50 , with the proviso that the sum of a and b is greater than 0 .

15

If the silicone compounds (b) are not present during the polymerization, but are mixed in after the polymerization, very soft tacky films are usually obtained which are unsuitable for the uses according to the invention in cosmetics for skin and

20 hair.

This indicates that, during the polymerization, possible grafting of the addition polymers to the silicone compounds can occur, contributing to the good film properties, such as freedom from
25 tack, high surface smoothness and hardness, and improved block strength. However, mechanisms other than grafting by means of which the polymers according to the invention can achieve their advantageous properties are also conceivable.

30 The term "polymerizable" means that the monomers used can be polymerized using any conventional synthetic method.

For example, this can be solution polymerization, emulsion polymerization, inverse emulsion polymerization, suspension

35 polymerization, inverse suspension polymerization or precipitation polymerization, without limiting the methods which can be used thereto. In solution polymerization, it is possible to use water, customary organic solvents or the silicone derivatives according to the invention themselves as solvent.

40

The polymers according to the invention preferably have a K value (measured in accordance with Fickentscher, Cellulosechemie, Vol. 13, pp. 58-64 (1932) at 250°C as a 0.1% strength solution in 0.5 molar sodium chloride solution) of 30 to 50 , preferably 37 to 41 .

45

Particularly suitable polymers are those which are soluble in water or whose water dispersibility is large enough for them to be soluble in a water:ethanol = 20:80 (% by volume:% by volume) solvent mixture in an amount of more than 0.1 g/l, preferably 5 more than 0.2 g/l.

For the purposes of the invention, "water-dispersible" polymers are those which, upon contact with water, form a fluid within 24 hours, within which no solid particles can be detected with the
 10 eye without optical devices. To check whether an polymer is water-dispersible, 100 mg of the addition polymer, in the form of a 100 µm-thick film, are added to 100 ml of water (20°C) and shaken for 24 hours on a commercially available shaker table. If, after the shaking, solid particles can no longer be recognized,
 15 but the fluid has turbidity, the addition polymer is water-dispersible; in the absence of turbidity, it is referred to as water-soluble.

During the polymerization of the monomers, it is also possible,
 20 where appropriate, for other polymers, such as, for example, homo- and copolymers of ethylenically unsaturated monomers, and polyamides, polyurethanes or polyesters, to be present. The polyamides, polyurethanes, polyesters have preferably been ionically modified, e.g. with carboxylate or sulfonate groups.

25 A particularly preferred polymer according to the invention is, for example, an polymer available under the trade name Luviflex™ Silk (INCI Name: PEG/PPG-25/25 Dimethicone/Acrylates/t-Butyl Acrylates; BASF Aktiengesellschaft).

30 The preparations according to the invention can be present in end preparations as aqueous or aqueous-alcoholic solutions, O/W and W/O emulsions in the form of shampoos, cremes, foams, lotion, mousse, sprays (pump spray or aerosol), gels or gel sprays, and
 35 are accordingly formulated with customary further auxiliaries.

Further customary auxiliaries which may be mentioned are: surfactants, oily substances, emulsifiers, coemulsifiers, super
 40 fattening agents, perlescent waxes, bodying agents, thickeners, fats, waxes, silicone compounds, hydrotropic agents, preservatives, perfume oils, dyes, stabilizers, pH regulators, cosmetic care substances and active ingredients, such as AHA acids, fruit acids, ceramides, phytantriol, bisabolol, panthenol, collagen, provitamins and vitamins, e.g. vitamin A, E and C,
 45 proteins and protein hydrolysates (e.g. wheat, almond or pea proteins), solubilizers, complexing agents, repellents, bleaches, colorants, tinting agents, tanning agents (e.g.

20060606 09:45:00

dihydroxyacetone), micropigments, such as titanium dioxide or zinc oxide and the like. In addition, polymers may be present.

Suitable anionic surfactants are, for example, alkyl sulfates, alkyl ether sulfates, alkylsulfonates, alkylarylsulfonates, alkyl succinates, alkyl sulfosuccinates, N-alkyl sarcosinates, acyl taurates, acyl isethionates, alkyl phosphates, alkyl ether phosphates, alkyl ether carboxylates, alpha-olefinsulfonates, in particular the alkali metal and alkaline earth metal salts, e.g. sodium, potassium, magnesium, calcium and ammonium and triethanolamine salts. The alkyl ether sulfates, alkyl ether phosphates and alkyl ether carboxylates can have between 1 and 10 ethylene oxide or propylene oxide units, preferably 1 to 3 ethylene oxide units, in the molecule.

Suitable are, for example, sodium lauryl sulfate, ammonium lauryl sulfate, sodium lauryl ether sulfate, ammonium lauryl ether sulfate, sodium lauryl sarcosinate, sodium oleyl succinate, ammonium lauryl sulfosuccinate, sodium dodecyl benzenesulfonate, triethanolamine dodecylbenzenesulfonates.

Suitable amphoteric surfactants are, for example, alkylbetaines, alkylamidopropylbetaines, alkylsulfobetaines, alkyl glycinate, alkyl carboxyglycinates, alkyl amphotacetates or -propionates, alkyl amphodiacetates, or -dipropionates.

For example, cocodimethylsulfopropylbetaine, laurylbetaine, cocamidopropylbetaine or sodium cocamphopropionate may be used.

Suitable as nonionic surfactants are, for example, the reaction products of aliphatic alcohols or alkylphenols having 6 to 20 carbon atoms in the alkyl chain, which may be linear or branched, with ethylene oxide and/or propylene oxide. The amount of alkylene oxide is about 6 to 60 mol per mole of alcohol. Also suitable are alkylamine oxides, mono- or dialkylalkanolamides, fatty acid esters of polyethylene glycols, ethoxylated fatty acid amides, alkyl polyglycosides or sorbitan ether esters.

Furthermore, the compositions can comprise customary cationic surfactants, such as, for example, quaternary ammonium compounds, for example cetyltrimethylammonium chloride.

The compositions according to the invention, in particular in the form of shampoo formulations, usually comprise anionic surfactants as base surfactants and amphoteric and nonionic surfactants as cosurfactants.

The compositions usually comprise 2 to 50% by weight of surfactants, preferably 5 to 40% by weight, particularly preferably 8 to 30% by weight.

- 5 Suitable oily substances are, for example, Guerbet alcohols based on fatty alcohols having 6 to 18, preferably 8 to 10, carbon atoms, esters of linear C₆-C₂₂-fatty acids with linear C₆-C₂₂-fatty alcohols, esters of branched C₆-C₁₃-carboxylic acids with linear C₆-C₂₂-fatty alcohols, esters of linear C₆-C₂₂-fatty acids with
- 10 branched alcohols, in particular 2-ethylhexanol, esters of hydroxycarboxylic acids with linear or branched C₆-C₂₂-fatty alcohols, in particular Dioctyl Malate, esters of linear and/or branched fatty acids with polyhydric alcohols (such as, for example, propylene glycol, dimerdiol or trimertriol) and/or
- 15 Guerbet alcohols, triglycerides based on C₆-C₁₀-fatty acids, liquid mono/di/triglyceride mixtures based on C₆-C₁₈-fatty acids, esters of C₆-C₂₂-fatty alcohols and/or Guerbet alcohols with aromatic carboxylic acids, in particular benzoic acid, vegetable oils, branched primary alcohols, substituted cyclohexanes, linear
- 20 and branched C₆-C₂₂-fatty alcohol carbonates, Guerbet carbonates, esters of benzoic acid with linear and/or branched C₆-C₂₂-alcohols (e.g. Finsolv[®] TN), linear or branched, symmetrical or unsymmetrical dialkyl ethers having 6 to 22 carbon atoms per alkyl group, ring-opening products of epoxidized fatty acid
- 25 esters with polyols, silicone oils and/or aliphatic or naphthenic hydrocarbons.

Suitable oily substances are animal and vegetable oils, such as, for example, sunflower oil, coconut oil, avocado oil, olive oil

30 or lanolin.

Suitable emulsifiers are, for example, nonionogenic surfactants from at least one of the following groups:

- 35 (1) addition products of from 2 to 30 mol of ethylene oxide and/or 0 to 5 mol of propylene oxide onto linear fatty alcohols having 8 to 22 carbon atoms, onto fatty acids having 12 to 22 carbon atoms and onto alkylphenols having 8 to 15 carbon atoms in the alkyl group;
- 40 (2) C_{12/18}-fatty acid mono-diesters of addition products of from 1 to 30 mol of ethylene oxide onto glycerol;
- (3) glycerol mono-diesters and sorbitan mono-diesters of
- 45 saturated and unsaturated fatty acids having 6 to 22 carbon atoms and the ethylene oxide addition products thereof;

- (4) alkyl mono and oligoglycosides having 8 to 22 carbon atoms in the alkyl radical and ethoxylated analogs thereof;
- 5 (5) addition products of from 15 to 60 mol of ethylene oxide onto castor oil and/or hydrogenated castor oil;
- 10 (6) polyol esters and, in particular, polyglycerol esters, such as, for example, polyglycerol polyricinoleate, polyglycerol poly-12-hydroxystearate or polyglycerol dimerate. Also suitable are mixtures of compounds of two or more of these classes of substance;
- 15 (7) addition products of from 2 to 15 mol of ethylene oxide onto castor oil and/or hydrogenated castor oil;
- 20 (8) partial esters based on linear, branched, unsaturated or saturated C_{6/22}-fatty acids, ricinoleic acid, and 12-hydroxystearic acid and glycerol, polyglycerol, pentaerythritol, dipentaerythritol, sugar alcohols (e.g. sorbitol), alkylglucosides (e.g. methylglucoside, butylglucoside, laurylglucoside), and polyglucosides (e.g. cellulose);
- 25 (9) mono, di and trialkyl phosphates, and mono-, di- and/or tri-PEG-alkyl phosphates and salts thereof;
- (10) wool wax alcohols;
- 30 (11) polysiloxane polyalkyl polyether copolymers and corresponding derivatives;
- 35 (12) mixed esters of pentaerythritol, fatty acids, citric acid and fatty alcohol according to German patent 1165574 and/or mixed esters of fatty acids having 6 to 22 carbon atoms, methylglycose and polyols, preferably glycerol or polyglycerol, and
- (13) polyalkylene glycols.
- 40 The addition products of ethylene oxide and/or of propylene oxide onto fatty alcohols, fatty acids, alkylphenols, glycerol mono- and diesters, and sorbitan mono- and diesters of fatty acids or onto castor oil are known, commercially available products. They are homologue mixtures whose average degree of alkoxylation
- 45 corresponds to the ratio of the amounts of ethylene oxide and/or propylene oxide and substrate with which the addition reaction is carried out. C_{12/18}-fatty acid mono- and diesters of addition

products of ethylene oxide onto glycerol are known from German patent 2024051 as refatting agents for cosmetic preparations. C_{8/18}-alkyl mono and oligoglycosides, their preparation and their use are known from the prior art. Their preparation takes place, 5 in particular, by reacting glucose or oligosaccharides with primary alcohols having 8 to 18 carbon atoms. With regard to the glycoside ester, monoglycosides in which a cyclic sugar radical is bonded to the fatty alcohol glycosidically, and also oligomeric glycosides having a degree of oligomerization up to 10 preferably about 8 are suitable. The degree of oligomerization here is a statistical average value which is based on a homologue distribution customary for such technical-grade products.

It is also possible for the emulsifiers used to be zwitterionic 15 surfactants. Zwitterionic surfactants is the term used to refer to those surface-active compounds which carry at least one quaternary ammonium group and at least one carboxylate and one sulfonate group in the molecule. Particularly suitable zwitterionic surfactants are the so-called betaines, such as the 20 N-alkyl-N,N-dimethylammonium glycinate, for example cocoalkyldimethylammonium glycinate, N-acylaminoethyl-N,N-dimethylammonium glycinate, for example cocoacylaminoethyl dimethylammonium glycinate, and 2-alkyl-3-carboxymethyl-3-hydroxyethylimidazolines having in each 25 case 8 to 18 carbon atoms in the alkyl or acyl group, and cocoacylaminoethyl hydroxyethylcarboxymethylglycinate. Particular preference is given to the fatty acid amide derivative known under the CTFA name Cocamidopropyl Betaine. Likewise suitable emulsifiers are ampholytic surfactants. Ampholytic surfactants 30 are understood as meaning those surface-active compounds which, apart from a C_{8/18}-alkyl or acyl group in the molecule, contain at least one free amino group and at least one COOH or SO₃H group and are capable of forming internal salts. Examples of suitable ampholytic surfactants are N-alkylglycines, N-alkylpropionic 35 acids, N-alkylaminobutyric acids, N-alkyliminodipropionic acids, N-hydroxyethyl-N-alkylamidopropylglycines, N-alkyltaurines, N-alkylsarcosines, 2-alkylaminopropionic acids and alkylaminoacetic acids having in each case about 8 to 18 carbon atoms in the alkyl group. Particularly preferred ampholytic 40 surfactants are N-cocoalkylaminopropionate, cocoacylaminoethylaminopropionate and C_{12/18}-acylsarcosine. In addition to the ampholytic emulsifiers, quaternary emulsifiers are also suitable, those of the esterquat type, preferably methyl-quaternized difatty acid triethanolamine ester salts, 45 being particularly preferred.

As superfatting agents, it is possible to use substances such as, for example, lanolin and lecithin, and polyethoxylated or acylated lanolin and lecithin derivatives, polyol fatty acid esters, monoglycerides and fatty acid alkanolamides, the latter also serving as foam stabilizers.

Examples of suitable pearlescent waxes are: alkylene glycol esters, specifically ethylene glycol distearate; fatty acid alkanolamides, specifically coconut fatty acid diethanolamide; partial glycerides, specifically stearic acid monoglyceride; esters of polybasic, optionally hydroxy-substituted carboxylic acids with fatty alcohols having 6 to 22 carbon atoms, specifically long-chain esters of tartaric acid; fatty substances, such as, for example, fatty alcohols, fatty ketones, fatty aldehydes, fatty ethers and fatty carbonates which have a total of at least 24 carbon atoms, specifically laurone and distearyl ether; fatty acids, such as stearic acid, hydroxystearic acid or behenic acid, ring-opening products of olefin epoxides having 12 to 22 carbon atoms with fatty alcohols having 12 to 22 carbon atoms and/or polyols having 2 to 15 carbon atoms and 2 to 10 hydroxyl groups, and mixtures thereof.

Suitable bodying agents are primarily fatty alcohols or hydroxy fatty alcohols having 12 to 22 and, preferably, 16 to 18 carbon atoms, and also partial glycerides, fatty acids or hydroxy fatty acids. Preference is given to a combination of these substances with alkyl oligoglucosides and/or fatty acid N-methylglucamides of identical chain length and/or polyglycerol poly-12-hydroxystearates. Suitable thickeners are, for example, polysaccharides, in particular xanthan gum, guar gum, agar agar, alginates and Tyloses, cellulose derivatives, for example carboxymethylcellulose and hydroxyethylcellulose, and also relatively high molecular weight polyethylene glycol mono- and diesters of fatty acids, polyacrylates (e.g. Carbopols™ from Goodrich or Synthalens™ from Sigma), polyacrylamides, polyvinyl alcohol and polyvinylpyrrolidone, surfactants, such as, for example, ethoxylated fatty acid glycerides, esters of fatty acids with polyols, such as, for example, pentaerythritol or trimethylolpropane, fatty alcohol ethoxylates having a narrowed homolog distribution or alkyl oligoglucosides, and electrolytes, such as sodium chloride and ammonium chloride.

Typical examples of fats are glycerides, and suitable waxes are, inter alia, beeswax, carnauba wax, candelilla wax, montan wax, paraffin wax or microcrystalline waxes, optionally in combination with hydrophilic waxes, e.g. cetylstearyl alcohol or partial glycerides. Stabilizers which may be used are metal salts of

fatty acids, such as e.g. magnesium, aluminum and/or zinc stearate or ricinoleate.

Suitable silicone compounds are, for example,

- 5 dimethylpolysiloxanes, methylphenylpolysiloxanes, cyclic silicones, and amino-, fatty-acid-, alcohol-, polyether-, epoxy-, fluorine-, glycoside- and/or alkyl-modified silicone compounds, which can either be in liquid or resin form at room temperature. Typical examples of fats are glycerides, and suitable waxes are,
- 10 inter alia, beeswax, carnauba wax, candelilla wax, montan wax, paraffin wax or microcrystalline waxes, optionally in combination with hydrophilic waxes, e.g. cetylstearyl alcohol or partial glycerides. Stabilizers which may be used are metal salts of fatty acids, such as e.g. magnesium stearate, aluminum stearate
- 15 and/or zinc stearate.

Suitable solvents are, in particular, water and lower monoalcohols or polyols having 1 to 6 carbon atoms and mixtures thereof; preferred monoalcohols or polyols are ethanol,

- 20 isopropanol, propylene glycol, glycerol and sorbitol.

To improve the flow behavior, it is also possible to use hydrotropic agents, such as, for example, ethanol, isopropyl alcohol or polyols. Polyols which are suitable here preferably

- 25 have 2 to 15 carbon atoms and at least two hydroxyl groups.

Typical examples are

- glycerol;
- alkylene glycols, such as, for example, ethylene glycol,
- 30 diethylene glycol, propylene glycol, butylene glycol, hexylene glycol, and polyethylene glycols having an average molecular weight of from 100 to 1000 daltons; technical-grade oligoglycerol mixtures having a degree of self-condensation of from 1.5 to 10, such as, for example,
- 35 technical-grade diglycerol mixtures with a diglycerol content of from 40 to 50% by weight;
- methylol compounds, such as, in particular, trimethylolethane, trimethylolpropane, trimethylolbutane, pentaerythritol and dipentaerythritol;
- 40 - lower alkylglucosides, in particular those having 1 to 8 carbon atoms in the alkyl radical, such as, for example, methyl- and butylglucoside;
- sugar alcohols having 5 to 12 carbon atoms, such as, for example, sorbitol or mannitol;
- 45 - sugars having 5 to 12 carbon atoms, such as, for example, glucose or sucrose;

- amino sugars, such as, for example, glucamine.

Examples of suitable preservatives are phenoxyethanol, formaldehyde solution, parabens, pentanediol or sorbic acid, and the other classes of substance listed in Appendix 6, Part A and B, of the Cosmetics Directive.

These include, for example, all suitable preservatives having a specific action against Gram-positive bacteria, e.g. triclosan (2,4,4'-trichloro-2'-hydroxydiphenyl ether), chlorhexidin (1,1'-hexamethylenebis[5-(4-chlorophenyl)biguanide] and TTC (3,4,4'-trichlorocarbanilide). Quaternary ammonium compounds are in principle likewise suitable, but are preferably used for disinfecting soaps and washing lotions. Numerous fragrances also have antimicrobial properties. Specific combinations with particular effectiveness against Gram-positive bacteria are used for the composition of deodorant perfumes. A large number of essential oils or characteristic ingredients thereof, such as, for example, oil of cloves (eugenol), mint oil (menthol) or thyme oil (thymol), also exhibit marked antimicrobial effectiveness.

The preservatives are usually used in concentrations of from about 0.1 to 0.3% by weight.

Perfume oils which may be mentioned are mixtures of natural and synthetic fragrances. Natural fragrances are extracts from flowers (lily, lavender, rose, jasmine, neroli, ylangylang), stems and leaves (geranium, patchouli, petitgrain), fruits (aniseed, coriander, cumin, juniper), fruit peels (bergamot, lemon, orange), roots (mace, angelica, celery, cardamom, costus, iris, calmus), woods (pinewood, sandalwood, guaiac wood, cedarwood, rosewood), herbs and grasses (tarragon, lemongrass, sage, thyme), needles and branches (spruce, fir, pine, dwarf-pine), resins and balsams (galbanum, elemi, benzoin, myrrh, olibanum, opoponax). Also suitable are animal raw materials, such as, for example, civet and castoreum. Typical synthetic fragrance compounds are products of the ester, ether, aldehyde, ketone, alcohol and hydrocarbon type. Fragrance compounds of the ester type are e.g. benzyl acetate, phenoxyethyl isobutyrate, p-tert-butylcyclohexyl acetate, linalyl acetate, dimethylbenzylcarbonyl acetate, phenylethyl acetate, linalyl benzoate, benzyl formate, ethyl methylphenylglycinate, allyl cyclohexylpropionate, styryl propionate and benzyl salicylate. The ethers include, for example, benzyl ethyl ether, the aldehydes include, for example, the linear alkanals having 8 to 18 carbon atoms, citral, citronellal, citronellyloxyacetaldehyde, cyclamenaldehyde, hydroxycitronellal, lillial and bourgeonal, and

the ketones include, for example, the ionones, α -isomethylionone and methyl cedryl ketone, and the alcohols include anethole, citronellol, eugenol, isoeugenol, geraniol, linalool, phenylethyl alcohol and terpineol, and the hydrocarbons include mainly the

5 terpenes and balsams. Preference is, however, given to using mixtures of different fragrances which together produce a pleasing scent note. Essential oils of lower volatility, which are mostly used as flavor components, are also suitable as perfume oils, e.g. sage oil, camomile oil, oil of cloves, balm

10 oil, mint oil, cinnamon leaf oil, lime blossom oil, juniperberry oil, vetiver oil, olibanum oil, galbanum oil, labolanum oil and lavandin oil. Preference is given to using bergamot oil, dihydromyrcenol, lilial, lyral, citronellol, phenylethyl alcohol, α -hexylcinnamaldehyde, geraniol, benzylacetone, cyclamenaldehyde,

15 linalool, boisambrene forte, ambroxan, indole, hedione, sandelice, lemon oil, mandarin oil, orange oil, allyl amyl glycolate, cyclovertal, lavandin oil, clary sage oil, β -damascone, geranium oil bourbon, cyclohexyl salicylate, Vertofix Coeur, Iso-E-Super, Fixolide NP, Evernyl, iraldein gamma, phenylacetic

20 acid, geranyl acetate, benzyl acetate, rose oxide, Romillat, Irotyl and Floramat alone or in mixtures.

Dyes which may be used are the substances approved and suitable for cosmetic purposes, as are listed, for example, in the

25 publication "Kosmetische Färbemittel" [Cosmetic Colorants] from the Farbstoffkommission der Deutschen Forschungsgemeinschaft [Dyes Commission of the German Research Council], Verlag Chemie, Weinheim, 1984, pp. 81-106. These dyes are usually used in concentrations of from 0.001 to 0.1% by weight, based on the

30 total mixture.

After the polymerization, it is also possible to incorporate other polymers into the polymer preparations according to the invention, if specific properties are to be set.

35

Suitable other polymers for this purpose are, for example, anionic, cationic, amphoteric and neutral polymers.

Examples of anionic polymers are homo- and copolymers of acrylic

40 acid and acrylamide and salts thereof, sodium salts of polyhydroxycarboxylic acids, water-soluble or water-dispersible polyesters, polyurethanes and polyureas. Particularly suitable polymers are copolymers of tert-butyl acrylate, ethyl acrylate, methacrylic acid (e.g. Luvimer™ 100 P), copolymers of ethyl

45 acrylate and methacrylic acid, (e.g. Luviflex™ Soft), copolymers of N-tert-butylacrylamide, ethyl acrylate, acrylic acid (Ultrahold Strong™) copolymers of vinyl acetate, crotonic acid

- and optionally further vinyl esters (e.g. Luviset CA66™), maleic anhydride copolymers, optionally reacted with alcohols, anionic polysiloxanes, e.g. carboxyfunctional copolymers of vinylpyrrolidone, tert-butyl acrylate, methacrylic acid,
- 5 copolymers of acrylic acid and methacrylic acid with hydrophobic monomers, e.g. C₄-C₃₀-alkyl esters of (meth)acrylic acid, C₄-C₃₀-alkyl vinyl esters, C₄-C₃₀-alkyl vinyl ethers and hyaluronic acid, and further polymers known under the trade names Amerhold DR-25, Ultrahold™, Luviset™ P.U.R., Acronal™, Acudyne™,
- 10 Lovocryl™, Versatyl™, Amphomer™ (28-4910, LV-71), Placise™ L53, Gantrez™ ES 425, Advantage Plus™, Omnirez™ 2000, Resyn™ 28-1310, Resyn™ 28-2930, Balance™ (0/55), Acudyne™ 255, Aristoflex™A or Eastman AQ™.
- 15 Further other polymers are cationic polymers with the name polyquaternium according to INCI, e.g. copolymers of vinylpyrrolidone/N-vinylimidazolium salts (Luviquat™ FC, Luviquat™ HM, Luviquat™ MS, Luviquat™ Care), copolymers of N-vinylpyrrolidone/dimethylaminoethyl methacrylate, quaternized
- 20 with diethyl sulfate (Luviquat™ PQ 11), copolymers of N-vinylcaprolactam/N-vinylpyrrolidone/N-vinylimidazolium salts (Luviquat™ Hold), cationic cellulose derivatives (polyquaternium-4 and 10), acrylamide copolymers (Polyquaternium-[lacuna]), Styleeze™ CC-10, Aquaflex™ SF-40 and
- 25 chitosan derivatives.
- Also suitable as further polymers are neutral polymers, such as polyvinylpyrrolidones, copolymers of N-vinylpyrrolidone and vinyl acetate and/or vinyl propionate, polysiloxanes,
- 30 polyvinylcaprolactam and copolymers with N-vinylpyrrolidone, polyethyleneimine and salts thereof, polyvinylamines and salts thereof, cellulose derivatives, polyaspartic acid salts and derivatives. These include the polymers known under the following trade names: Luviskol™ (K, VA, Plus), PVP K, PVP/VA, Advantage™
- 35 HC and H₂OLD EP-1.

- Also suitable are biopolymers, i.e. polymers obtained from naturally renewable raw materials and made from natural monomer units, e.g. cellulose derivatives, chitin, chitosan, DNA,
- 40 hyaluronic acid and RNA derivatives.

Further polymers are also betainic polymers, such as Yukaformers (R205, SM) and Diaformers.

- 45 The list below contains the INCI/CTFA names and the manufacturers of the polymers listed above:

	INCI/CTFA	Polymer	Manufacturer
	Acrylates Copolymer	Amerhold DR-25	Amerchol
	PVP/VA Copolymer	Luviskol VA	BASF
	Polyvinylcaprolactam	Luviskol Plus	BASF
5	Styrene/Acrylates Copolymer	Acronal 290 D, 296 D	BASF
	VA/Crotonates Copolymer	Luviset CA 66	BASF
	Acrylates/Acrylamide Copolymer	Ultrahold 8	BASF
	Acrylates/Acrylamide Copolymer	Ultrahold Strong	BASF
	Acrylates Copolymer	Luviflex Soft	BASF
	Acrylates Copolymer	Luvimer 100P, 36D, 30E	BASF
10	Polyquaternium 46	Luviquat Hold	BASF
	Polyurethane-1	Luviset P.U.R.	BASF
	Methacryloyl Ethylbetaine/Acrylates Copolymer	Diaformer	Clariant
15	Diglycol/CHDM/Isophthalates/ SIP Copolymer	Eastman AQ Polymer	Eastman
	VA/Crotonates Copolymer	Aristoflex A	Hoechst Celanese
	Acrylates/Diacetoneacrylamide Copolymer	Plascize L-53	Goo Chemical
20	PVP	PVP K	ISP
	PVP/VA Copolymer	PVP/VA	ISP
	Vinyl Caprolactam/ PVP/Dimethylaminoethyl Methacrylate Copolymer	Copolymer VC 713 (= Advantage HC)	ISP
25	Vinyl Caprolactam/ PVP/Dimethylaminoethyl Methacrylate Copolymer	H ₂ OLD® EP-1	ISP
	PVM/MA Butylester Copolymer	Gantrez ES 425	ISP
	VA/Butyl Maleate/Isobornyl Acrylate	Advantage Plus	ISP
30	Ethyl Ester of PVM/MA Copolymer	Omnirez 2000	ISP
	PVP/DMAPA Acrylates Copolymer	Styleeze CC-10	ISP
	PVP/Vinylcaprolactam/DMAPA Acrylates Copolymer	Aquaflex SF-40	ISP
		Yukaformer R205	Mitsubishi
		Yukaformer SM	Mitsubishi
35	VA/Crotonates/Copolymer	Resyn 28-1310	National Starch
	VA/Crotonates/Neodecanoate Copolymer	Resyn 28-2930	National Starch
40	Octylacrylamide/Acrylates/ Butylaminoethyl Methacrylate Copolymer	Amphomer 28-4910	National Starch
	Octylacrylamide/Acrylates/ Butylaminoethyl Methacrylate Copolymer	Amphomer LV-71	National Starch
45	Acrylates/Octylacrylamide Copolymer	Versatyl 42	National Starch
	Octylacrylamide/Acrylates Copolymer	Versatyl 90	National Starch

20220616 09:46:34

5

10

15

20

30

40

mol%.

The emulsification of the aqueous phase into the oil phase does not require any special units; the aqueous monomer phase can be emulsified in a standard polymerization vessel by stirring, e.g. with an anchor stirrer. The rate of rotation is between 30 and 5 400 rpm, depending on the geometry of the tank.

Following the polymerization, water-in-oil emulsions are obtained which have a solids content of from 10 to 40% by weight, preferably from 15 to 35% by weight. To increase the solids 10 content, some or all of the water can be removed from the emulsions by distillation.

The W/O emulsions of the polymers according to the invention are used as thickeners, preferably in skin cosmetic or pharmaceutical 15 preparations. The polymers can be used directly in the form of the W/O emulsion. The thickening action of the W/O emulsion starts immediately after the W/O emulsion has been mixed with a cosmetic and/or pharmaceutical O/W emulsion; it is not necessary to add an inversion agent to achieve the optimum effect. It is 20 also possible to thicken purely aqueous systems. This gives a creme gel.

The preparations according to claims 10 and/or 11 are suitable in particular for use in cosmetic compositions. Thus, they can, for 25 example, be used in cosmetic compositions for cleansing the skin. Such cosmetic cleansers are chosen from soap bars, such as toilet soaps, curd soaps, transparent soaps, luxury soaps, deodorant soaps, creme soaps, baby soaps, skin-protection soaps, abrasive soaps and syndets, liquid soaps, such as paste soaps, soft soaps 30 and washing pastes, and liquid wash, shower and bath preparations, such as washing lotions, shower preparations and gels, foam baths, oil baths and scrub preparations, and shaving foams, lotions and cremes.

35 The preparations according to the invention can also be used in cosmetic preparations for the care of the skin. The skin care compositions are, in particular, in the form of W/O or O/W skin cremes, day and night cremes, eye cremes, face cremes, antiwrinkle cremes, moisturizing cremes, bleaching cremes, 40 vitamin cremes, skin lotions, care lotions and moisturizing lotions.

Furthermore, they are suitable for skin cosmetic preparations such as face tonics, face masks, deodorants and other cosmetic 45 lotions.

Furthermore, the preparations according to the invention can be used as strips for pore cleansing or skin foaming, in antiacne products, repellents, shaving products, depilatories, personal hygiene productions, foot care products, and in baby care.

5

The novel preparations according to claims 10 and/or 11 are suitable in particular for hair cosmetics, preferably in preparations such as hair cures, hair lotions, hair rinses, hair emulsions, hair-end fluids, neutralizing agents for permanent

10 waves, hot-oil treatment preparations, conditioners, curl relaxers, styling wrap lotions, setting lotions, shampoos, hair colorants or hair sprays.

When formulating hair-setting compositions, it must be taken into
15 consideration that, owing to the environmental regulations regarding control of the emission of volatile organic compounds (VOCs) into the atmosphere, it is necessary to reduce the content of alcohol and propellant.

20 The preparations according to the invention are preferably used as film formers and/or coating agents in cosmetic and/or pharmaceutical preparations, in particular for keratin-containing and keratin-analogous surfaces, such as hair, skin and nails.

25 In a particularly preferred embodiment, the preparations according to claims 10 and/or 11 are used in cosmetic preparations for nail care.

Very particular preference is given to the use of the

30 preparations according to claims 10 and/or 11 in decorative cosmetics preparations.

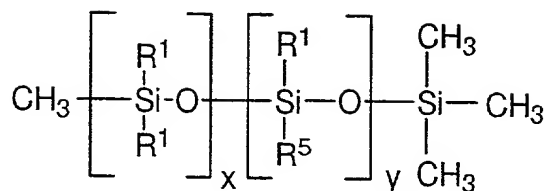
The invention further provides for the use of an polymer which is obtainable by free-radical polymerization of a monomer mixture of

35

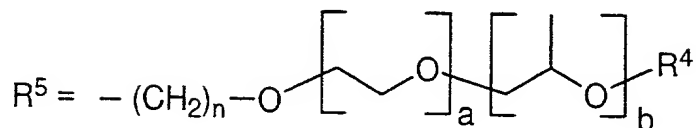
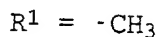
(a1) 49.5 to 90% by weight of (meth)acrylate, in particular tert-butyl acrylate,

(a2) 0.5 to 40% by weight of a further (meth)acrylate, in particular methacrylic acid,

40 (b) 0.5 to 20% by weight of a polyalkylene-oxide-containing silicone derivative according to the following formula



where



$\text{R}^4 = -\text{H}; -\text{COCH}_3$, alkyl with $\text{C}_1 - \text{C}_4$

$n = 1$ to 6 , in particular 2 to 4 , preferably 3

x and y are integers such that the molecular weight of the polysiloxane block is between 1000 and 5000 ,

a , b may be integers between 0 and 50 , with the proviso that the sum of a and b is greater than 0 ,

in decorative cosmetics preparations.

Decorative cosmetics preparations which may be mentioned are, for example, concealing pencils, stage makeup, mascara and eye shadows, lipsticks, kohl pencils, eyeliners, makeup, foundations, blushers and powders and eyebrow pencils, and, in particular, nail varnishes.

The polymers are usually present in the cosmetic and/or pharmaceutical preparations in an amount in the range from about 0.001 to 20% by weight, preferably 0.1 to 10% by weight, based on the total weight of the preparations.

Examples 1 to 6: Preparation of the polymers

50 g of feed 1 and 3.75 g of feed 2 are added dropwise to a stirred initial charge. The mixture is then heated to 78°C . Then, over the course of 1.5 h, the remainder of feed 1 and of feed 2 are added dropwise. The mixture is stirred for a further 2 h. Feed 3 is then added dropwise over the course of 15 minutes, and the mixture is stirred for a further 3 h at 78°C .

Example 1

Initial charge: 175 g of ethanol, 7.5 g of Dow Corning 190™

- Feed 1: 251 g of t-butyl acrylate, 86 g of methacrylic acid,
 5 37 g of ethyl acrylate, 75 g of ethanol
 Feed 2: 2 g of t-butyl perpivalate, 100 g of ethanol
 Feed 3: 1.5 g of t-butyl perpivalate, 57 g of ethanol

Example 2

10

Initial charge: 175 g of ethanol, 18.75 g of Dow Corning 190™

- Feed 1: 251 g of t-butyl acrylate, 86 g of methacrylic acid,
 37 g of ethyl acrylate, 75 g of ethanol
 Feed 2: 2 g of t-butyl perpivalate, 100 g of ethanol
 15 Feed 3: 1.5 g of t-butyl perpivalate, 57 g of ethanol

Example 3

Initial charge: 175 g of ethanol, 37.5 g of Dow Corning 190™

- 20 Feed 1: 251 g of t-butyl acrylate, 86 g of methacrylic acid,
 37 g of ethyl acrylate, 75 g of ethanol
 Feed 2: 2 g of t-butyl perpivalate, 100 g of ethanol
 Feed 3: 1.5 g of t-butyl perpivalate, 57 g of ethanol

25 Example 4

Initial charge: 175 g of ethanol, 18.75 g of Belsil DMC 6031™

- Feed 1: 251 g of t-butyl acrylate, 86 g methacrylic acid, 37 g
 of ethyl acrylate, 75 g of ethanol
 30 Feed 2: 2 g of t-butyl perpivalate, 100 g of ethanol
 Feed 3: 1.5 g of t-butyl perpivalate, 57 g of ethanol

Example 5

- 35 Initial charge: 175 g of ethanol, 37.5 g of Belsil DMC 6031™

- Feed 1: 279 g of t-butyl acrylate, 96 g of methacrylic acid, 75
 g of ethanol
 Feed 2: 2 g of butyl perpivalate, 100 g of ethanol
 Feed 3: 1.5 g of t-butyl perpivalate, 57 g of ethanol

40

45

Example 6

Initial charge: 175 g of ethanol, 37.5 g of Belsil DMC 6031™

- Feed 1: 300 g of t-butyl acrylate, 75 g of methacrylic acid,
 5 75 g of ethanol
 Feed 2: 2 g of t-butyl perpivalate, 100 g of ethanol
 Feed 3: 1.5 g of t-butyl perpivalate, 57 g of ethanol

Example 7

10 Gel formulation

Phase A and phase B are each prepared and phase B is incorporated into phase A.

- 15 Phase A 0.50% by weight Carbopol 980™ (Goodrich)
 49.5% by weight water, demineralized
 0.40% by weight triethanolamine
- Phase B 1.00% by weight polymer as in Example 1 or Ex. 5
 20 0.12% by weight 2-amino-2-methylpropanol
 2.00% by weight Uvinul MS 40
 20.00% by weight ethanol
 26.48% by weight water, demineralized

25 Example 8

Hair cocktail

- Phase A 3.00% by weight Luvigel EM™ (BASF)
 2.00% by weight Belsil DM 1000™ (Wacker)
 30 2.00% by weight Belsil CM 1000™ (Wacker)
 1.50% by weight Belsil PDM 200™ (Wacker)
 1.50% by weight Belsil ADM 6057 E™ (Wacker)
 2.00% by weight Univul MS 40
 0.50% by weight Belsil DMC 6031™ (Wacker)
 35 1.00% by weight Macadamia nut oil (Ex. Huile
 de Macadamio from Wacker)
 0.50% by weight Vitamin-E-Acetate™ (BASF)
 1.00% by weight Cremophor RH 40™ (BASF)
 0.40% by weight Perfume oil
- 40 Phase B 4.00% by weight Addition polymer as in Example
 1 or Ex. 5
 0.46% by weight 2-Amino-2-methylpropanol
 0.10% by weight Euxyl K 100™ (Schulke & Mayr)
 45 ad 100.00 water, demineralized

Example 9

As example 8 but with 0.40% Pemulen TR 1™ (Goodrich) instead of 3.00% Luvigel EM™ (BASF)

5 ad 100.00 Water, demineralized

Example 10

As example 8 but with 3.5% Luvigel EM™ instead of 3.00% Luvigel EM™ (BASF)

10 ad 100,00 Water, demineralized

Examples 11 to 20

Hairsprays

15	Polymers	Mixing ratio 8:2	Mixing ratio 1:1	Mixing ratio 2:8
20	Luviflex Silk / Luviskol VA37	6.40% Luviflex Silk™ 1.60% Luviskol VA37E™ 0.74% AMP (2-Amino-2-methylpropanol) 51.26% Ethanol 40.00% DME (Dimethyl ether)	4.0% Luviflex Silk™ 4.0% Luviskol VA37E™ 0.46% AMP 51.54% Ethanol 40.0% DME	1.6% Luviflex Silk™ 6.4% Luviskol VA37E™ 0.19% AMP 51.81% Ethanol 40.0% DME
25	Luviflex Silk / Luviskol K30	6.4% Luviflex Silk™ 0.8% Luviskol K30™ 0.74% AMP 52.06% Ethanol 40.0% DME	4.0% Luviflex Silk™ 2.0% Luviskol K30™ 0.46% AMP 53.54% Ethanol 40.0% DME	1.6% Luviflex Silk™ 3.2% Luviskol K30™ 0.19% AMP 55.01% Ethanol 40.0% DME
30	Luviflex Silk / Luviskol Plus	6.4% Luviflex Silk™ 2.0% Luviskol Plus™ 0.74% AMP 50.86% Ethanol 40.0% DME	4.0% Luviflex Silk™ 5.0% Luviskol Plus™ 0.46% AMP 50.54% Ethanol 40.0% DME	1.6% Luviflex Silk™ 8.0% Luviskol Plus™ 0.19% AMP 50.21% Ethanol 40.0% DME
35	Luviflex Silk / Luviset P.U.R.	6.4% Luviflex Silk™ 2.67% Luviset P.U.R.™ 0.73% AMP 50.20% Ethanol 40.0% DME	4.0% Luviflex Silk™ 6.67% Luviset P.U.R.™ 0.46% AMP 48.87% Ethanol 40.0% DME	1.6% Luviflex Silk™ 10.67% Luviset P.U.R.™ 0.19% AMP 47.54% Ethanol 40.0% DME

Polymers		Mixing ratio 8:2	Mixing ratio 1:1	Mixing ratio 2:8
5	Luviflex Silk / Ultrahold 8	6.4% Luviflex Silk™	4.0% Luviflex Silk™	1.6% Luviflex Silk™
		0.8% Ultrahold 8™	2.0% Ultrahold 8™	3.2% Ultrahold 8™
		0.82% AMP	0.66% AMP	0.51% AMP
		51.98% Ethanol	53.34% Ethanol	54.69% Ethanol
		40.0% DME	40.0% DME	40.0% DME
10	Luviflex Silk / Ultrahold Strong	6.4% Luviflex Silk™	4.0% Luviflex Silk™	1.6% Luviflex Silk™
		0.8% Ultrahold Strong™	2.0% Ultrahold Strong™	3.2% Ultrahold Strong™
		0.84% AMP	0.71% AMP	0.59% AMP
		51.96% Ethanol	53.29% Ethanol	54.61% Ethanol
		40.0% DME	40.0% DME	40.0% DME
15	Luviflex Silk / Luviset CA66	6.4% Luviflex Silk™	4.0% Luviflex Silk™	1.6% Luviflex Silk™
		0.8% Luviset CA66™	2.0% Luviset CA66™	3.2% Luviset CA66™
		0.82% AMP	0.69% AMP	0.53% AMP
		51.98% Ethanol	53.31% Ethanol	54.67% Ethanol
		40.0% DME	40.0% DME	40.0% DME
20	Luviflex Silk / Luviset CAN	6.4% Luviflex Silk™	4.0% Luviflex Silk™	1.6% Luviflex Silk™
		0.8% Luviset CAN™	2.0% Luviset CAN™	3.2% Luviset CAN™
		0.82% AMP	0.70% AMP	0.54% AMP
		51.98% Ethanol	53.3% Ethanol	54.66% Ethanol
		40.0% DME	40.0% DME	40.0% DME
25	Luviflex Silk / Amphomer	6.4% Luviflex Silk™	4.0% Luviflex Silk™	1.6% Luviflex Silk™
		0.8% Amphomer™	2.0% Amphomer™	3.2% Amphomer™
		0.88% AMP	0.83% AMP	0.77% AMP
		51.92% Ethanol	52.87% Ethanol	54.43% Ethanol
		40.0% DME	40.0% DME	40.0% DME
30	Luviflex Silk / Amphomer	6.4% Luviflex Silk™	4.0% Luviflex Silk™	1.6% Luviflex Silk™
		0.8% Amphomer™	2.0% Amphomer™	3.2% Amphomer™
		0.88% AMP	0.83% AMP	0.77% AMP
		51.92% Ethanol	52.87% Ethanol	54.43% Ethanol
		40.0% DME	40.0% DME	40.0% DME

35

40

45

Examples 21 to 30

Hairsprays containing propane/butane 3.5 bar or propane/butane 3.5 bar with addition of DME

5	Polymers	Mixing ratio 8:2	Mixing ratio 1:1	Mixing ratio 2:8
10	Luviflex Silk / Luviskol VA37	6.4% Luviflex Silk™ 1.6% Luviskol VA37E™ 0.74% AMP 51.26% Ethanol 10.0% Pr/Bu 3.5 (Propane/Butane 3.5 bar) 30.0% DME	4.0% Luviflex Silk™ 4.0% Luviskol VA37E™ 0.46% AMP 51.54% Ethanol 10.0% Pr/Bu 3.5 30.0% DME	1.6% Luviflex Silk™ 6.4% Luviskol VA37E™ 0.19% AMP 51.81% Ethanol 10.0% Pr/Bu 3.5 30.0% DME
15	Luviflex Silk / Luviskol K30	6.4% Luviflex Silk™ 0.8% Luviskol K30™ 0.74% AMP 52.06% Ethanol 40.0% Pr/Bu 3.5	4.0% Luviflex Silk™ 2.0% Luviskol K30™ 0.46% AMP 53.54% Ethanol 40.0% Pr/Bu 3.5	1.6% Luviflex Silk™ 3.2% Luviskol K30™ 0.19% AMP 55.01% Ethanol 40.0% Pr/Bu 3.5
20	Luviflex Silk / Luviskol Plus	6.4% Luviflex Silk™ 2.0% Luviskol Plus™ 0.74% AMP 50.86% Ethanol 40.0% Pr/Bu 3.5	4.0% Luviflex Silk™ 5.0% Luviskol Plus™ 0.46% AMP 50.54% Ethanol 40.0% Pr/Bu 3.5	1.6% Luviflex Silk™ 8.0% Luviskol Plus™ 0.19% AMP 50.21% Ethanol 40.0% Pr/Bu 3.5
25	Luviflex Silk / Luviset P.U.R.	Not possible	4.0% Luviflex Silk™ 6.67% Luviset P.U.R.™ 0.46% AMP 48.87% Ethanol 10% Pr/Bu 3.5 30.0% DME	1.6% Luviflex Silk™ 10.67% Luviset P.U.R.™ 0.19 % AMP 47.54% Ethanol 15% Pr/Bu 3.5 25.0% DME
30	Luviflex Silk / Luvimer 100P	6.4% Luviflex Silk™ 0.8% Luvimer 100P™ 0.93% AMP 51.87% Ethanol 40.0% Pr/Bu 3.5	4.0% Luviflex Silk™ 2.0% Luvimer 100P™ 0.94% AMP 53.06% Ethanol 40.0% Pr/Bu 3.5	1.6 % Luviflex Silk™ 3.2 % Luvimer 100P™ 0.95 % AMP 54.25 % Ethanol 40.0 % Pr/Bu 3.5
35	Luviflex Silk / Ultrahold 8	6.4% Luviflex Silk™ 0.8% Ultrahold 8™ 0.82% AMP 51.98% Ethanol 40.0% Pr/Bu 3.5	4.0% Luviflex Silk™ 2.0% Ultrahold 8™ 0.66% AMP 53.34% Ethanol 40.0% Pr/Bu 3.5	1.6% Luviflex Silk™ 3.2% Ultrahold 8™ 0.51% AMP 54.69% Ethanol 40.0% Pr/Bu 3.5

Polymers	Mixing ratio 8:2	Mixing ratio 1:1	Mixing ratio 2:8
5 Luviflex Silk / Ultrahold Strong	6.4% Luviflex Silk™ 0.8% Ultrahold Strong™ 0.84% AMP 51.96% Ethanol 40.0% Pr/Bu 3.5	4.0% Luviflex Silk™ 2.0% Ultrahold Strong™ 0.71% AMP 53.29% Ethanol 40.0% Pr/Bu 3.5	1.6% Luviflex Silk™ 3.2% Ultrahold Strong™ 0.59% AMP 54.61% Ethanol 40.0% Pr/Bu 3.5
10 Luviflex Silk / Luviset CA66	6.4% Luviflex Silk™ 0.8% Luviset CA66™ 0.82% AMP 51.98% Ethanol 10.0% Pr/Bu 3.5 30.0% DME	4.0% Luviflex Silk™ 2.0% Luviset CA66™ 0.69% AMP 53.31% Ethanol 10.0% Pr/Bu 3.5 30.0% DME	1.6% Luviflex Silk™ 3.2% Luviset CA66™ 0.53% AMP 54.67% Ethanol 10.0% Pr/Bu 3.5 30.0% DME
15 Luviflex Silk / Luviset CAN	6.4% Luviflex Silk™ 0.8% Luviset CAN™ 0.82% AMP 51.98% Ethanol 20.0% Pr/Bu 3.5 20.0% DME	4.0% Luviflex Silk™ 2.0% Luviset CAN™ 0.70% AMP 53.3% Ethanol 20.0% Pr/Bu 3.5 20.0% DME	1.6% Luviflex Silk™ 3.2% Luviset CAN™ 0.54% AMP 54.66% Ethanol 20.0% Pr/Bu 3.5 20.0% DME
20 Luviflex Silk / Amphomer	6.4% Luviflex Silk™ 0.8% Amphomer™ 0.88% AMP 51.92% Ethanol 40.0% Pr/Bu 3.5	4.0% Luviflex Silk™ 2.0% Amphomer™ 0.83% AMP 52.87% Ethanol 40.0% Pr/Bu 3.5	1.6% Luviflex Silk™ 3.2% Amphomer™ 0.77% AMP 54.43% Ethanol 40.0% Pr/Bu 3.5

Examples 31 to 40

30 Pump sprays

Polymers	Mixing ratio 8:2	Mixing ratio 1:1	Mixing ratio 2:8
35 Luviflex Silk / Luviskol VA37	10.85% Luviflex Silk™ 2.66% Luviskol VA37E™ 1.27% AMP 85.22% Ethanol	6.74% Luviflex Silk™ 6.66% Luviskol VA37E™ 0.79% AMP 85.81% Ethanol	2.69% Luviflex Silk™ 10.64% Luviskol™ VA37E 0.32% AMP 86.35% Ethanol
40 Luviflex Silk / Luviskol K30	10.85% Luviflex Silk™ 1.33% Luviskol K30™ 1.27% AMP 86.55% Ethanol	6.74% Luviflex Silk™ 3.33% Luviskol K30™ 0.79% AMP 84.14% Ethanol	2.69% Luviflex Silk™ 5.32% Luviskol K30™ 0.32% AMP 83.69% Ethanol

45

20220920 1006463.02300

	Polymers	Mixing ratio 8:2	Mixing ratio 1:1	Mixing ratio 2:8
5	Luviflex Silk / Luviskol Plus	10.85% Luviflex Silk™ 3.33% Luviskol Plus™ 1.27% AMP 84.55% Ethanol	6.74% Luviflex Silk™ 8.33% Luviskol Plus™ 0.79% AMP 89.14% Ethanol	2.69% Luviflex Silk™ 13.3% Luviskol Plus™ 0.32% AMP 90.21% Ethanol
10	Luviflex Silk / Luviset P.U.R.	10.85% Luviflex Silk™ 4.43% Luviset P.U.R.™ 1.27% AMP 83.45% Ethanol	6.74% Luviflex Silk™ 11.1% Luviset P.U.R.™ 0.79% AMP 81.37% Ethanol	2.69% Luviflex Silk™ 17.73% Luviset P.U.R.™ 0.32% AMP 79.26% Ethanol
15	Luviflex Silk / Luvimer 100P	10.85% Luviflex Silk™ 1.33% Luvimer 100P™ 1.55% AMP 86.27% Ethanol	6.74% Luviflex Silk™ 3.33% Luvimer 100P™ 1.56% AMP 88.37% Ethanol	2.69% Luviflex Silk™ 5.32% Luvimer 100P™ 1.58% AMP 90.41% Ethanol
20	Luviflex Silk / Ultrahold 8	10.85% Luviflex Silk™ 1.33% Ultrahold 8™ 1.36% AMP 86.46% Ethanol	6.74% Luviflex Silk™ 3.33% Ultrahold 8™ 1.1 % AMP 88.83% Ethanol	2.69% Luviflex Silk™ 5.32% Ultrahold 8™ 0.85% AMP 91.14% Ethanol
25	Luviflex Silk / Ultrahold Strong	10.85% Luviflex Silk™ 1.33% Ultrahold Strong™ 1.4% AMP 86.42% Ethanol	6.74% Luviflex Silk™ 3.33% Ultrahold Strong™ 1.18% AMP 88.75% Ethanol	2.69% Luviflex Silk™ 5.32% Ultrahold Strong™ 0.98% AMP 91.01% Ethanol
30	Luviflex Silk / Luviset CA66	10.85% Luviflex Silk™ 1.33% Luviset CA66™ 1.36% AMP 86.46% Ethanol	6.74% Luviflex Silk™ 3.33% Luviset CA66™ 1.15% AMP 88.78% Ethanol	2.69% Luviflex Silk™ 5.32% Luviset CA66™ 0.88% AMP 91.11% Ethanol
35	Luviflex Silk / Luviset CAN	10.85% Luviflex Silk™ 1.33% Luviset CAN™ 1.37% AMP 86.45% Ethanol	6.74% Luviflex Silk™ 3.33% Luviset CAN™ 1.17% AMP 88.76% Ethanol	2.69% Luviflex Silk™ 5.32% Luviset CAN™ 0.9% AMP 91.09% Ethanol
40	Luviflex Silk / Amphomer	10.85% Luviflex Silk™ 1.33% Amphomer™ 1.47% AMP 86.35% Ethanol	6.74% Luviflex Silk™ 3.33% Amphomer™ 1.38% AMP 88.55% Ethanol	2.69% Luviflex Silk™ 3.33% Amphomer™ 1.28% AMP 92.7% Ethanol

Example 41

Hairspray formulation based on dimethyl ether

- 45 1.00% by wt. Luviskol K30™ (BASF)
2.92% by wt. Luviflex Silk™ (BASF)
0.92% by wt. 2-Amino-2-methylpropanol

- 0.10% by wt. Diisobutyl adipate (Ex. Crodanol DiBA from Croda Oleochemicals)
- 0.05% by wt. Isodecane
- 0.10% by wt. Perfume oil
- 5 0.05% by wt. D-Panthenol USP™ (BASF)
- 14.78% by wt. Water, demineralized
- 36.08% by wt. Ethanol
- 40.00% by wt. Dimethyl ether

10 Example 42

Hairspray formulations based on isobutane and n-pentane

- | | | |
|-------|--------|--------------------------------|
| A) | 6.80% | Luviflex Silk™ (BASF) |
| | 0.79% | 2-Amino-2-methylpropanol |
| 15 | 14.20% | n-Pentane |
| | 2.40% | n-Butane |
| | 35.90% | Isobutane |
| | 39.91% | Ethanol |
| 20 B) | 3.00% | Ultrahold Strong™ (BASF) |
| | 1.00% | Luviflex Silk™ (BASF) |
| | 0.48% | 2-Amino-2-methylpropanol |
| | 0.03% | DOW Corning 190™ (Dow Corning) |
| | 14.20% | n-Pentane |
| 25 | 2.40% | n-Butane |
| | 35.90% | Isobutane |
| | 42.99% | Ethanol |

Example 43

30 Shine spray

- | | | |
|----|--------|-------------------------------|
| | 2.00% | Luviset CA66™ (BASF) |
| | 2.00% | Luviflex Silk™ (BASF) |
| | 0.46% | 2-Amino-2-methylpropanol |
| 35 | 1.00% | DOW Corning 556 (Dow Corning) |
| | 0.10% | Niacinamide |
| | 0.20% | D-Panthenol USP™ (BASF) |
| | 14.20% | n-Pentane |
| | 35.90% | n-Butane |
| 40 | 44.14% | Ethanol |

Example 44

Hairspray VOC 80 containing HFC 152A

- | | | |
|----|-------|--------------------------|
| 45 | 2.00% | Luviset CA66™ (BASF) |
| | 4.80% | Luviflex Silk™ (BASF) |
| | 0.79% | 2-Amino-2-methylpropanol |

56.60% Ethanol
 15.81% Propellant 152a (Ex. Dymel 152a from DuPont)
 20.00% Dimethyl ether

5 Example 45

Hairspray VOC 55 containing vitamins

4.80% Luviflex Silk™ (BASF)
 3.33% Luviset P.U.R.™
 10 0.57% 2-Amino-2-methylpropanol
 0.10% Niacinamide (Hoffmann-La Roche)
 0.10% Panthenol USP™ (BASF)
 38.83% Water, demineralized
 12.27% Ethanol
 15 40.00% Dimethyl ether

Example 46

Sunscreen pump spray for hair

20 2.00% Luviflex Silk™ (BASF)
 0.23% 2-Amino-2-methylpropanol
 2.00% Uvinul MS 40™ (BASF) (Benzophenone-4)
 95.77% Ethanol

25 Example 47

Hair repair

1.00% Luviskol K30™ (BASF)
 4.00% Luviflex Silk™ (BASF)
 30 0.48% 2-Amino-2-methylpropanol
 0.20% Hydrolyzed wheat protein (Ex. Cropesol W™ from Croda, Inc.)
 0.50% D-Panthenol USP™ (BASF)
 5.00% 1,2-Propylene glycol USP™ (BASF)
 35 10.00% Ethanol
 78.82% Water, demineralized

Example 48

Shining gel for hair with UV protection

40

Phase A 0.80% Carbopol 2001 ETD™ (Goodrich)
 33.84% Water, demineralized

Phase B 5.00% Abil 200 (Goldschmidt)
 45 3.00% Karion FP (Merck KGaA)
 3.00% 1,2-Propylene glycol USP™ (BASF)
 1.00% Cremophor RH40™ (BASF)

	q.s.	Preservative
5	Phase C 50.00%	Water, demineralized
	0.50%	Uvinul P25™ (BASF) (PEG-25PABA)
	2.00%	Luviflex Silk™ (BASF)
	0.23%	2-Amino-2-methylpropanol
	Phase D 0.63%	2-Amino-2-methylpropanol
10 Example 49		
Mascara		
15	Phase A 1.50%	Cremophor A6™ (BASF)
	1.50%	Cremophor A25™ (BASF)
	2.00%	Stearic acid (Ex. Emersol 120™ from Henkel)
	3.00%	Imwitor 960 K™ (Hüls AG)
20	3.00%	Softisan 100™ (Hüls AG)
	1.50%	Luvigel EM™ (BASF)
	10.00%	Dow Corning 345™ (Dow Corning)
25	Phase B 4.00%	Luviflex Silk™ (BASF)
	0.46%	2-Amino-2-methylpropanol
	0.30%	Germal 115™ (Sutton)
	72.24%	Water, demineralized
	Phase C 0.50%	Phenoxyethanol (Ex. Phenoxetol™ from Nipa-Hardwicke)
30 Example 50		
Shampoo formulation		
35	1.50%	Luviflex Silk™ (BASF)
	0.17%	2-Amino-methylpropanol
	0.50%	Luviskol K30™ (BASF)
	10.00%	Tego-Betaine L7
40	40.00%	Texapone NSO
	0.10%	Euxyl K100
	2.00%	NaCl
	45.73%	Water
Example 51		
Shampoo formulation containing Luviquat Care™		
45	1.80%	Luviflex Silk™ (BASF)
	0.21%	2-Amino-methylpropanol
	0.20%	Luviskol K30™ (BASF)

	7.70%	Luviquat Care™ (BASF)
	10.00%	Tego-Betaine L7
	40.00%	Texapone NSO
	0.10%	Euxyl K 100
5	2.00%	NaCl
	37.99%	Water

Example 51

Clear varnish

10

	15.0%	Nitrocellulose
	7.0%	Luviflex Silk™ (BASF)
	2.4%	Camphor
	4.5%	Palatinol A
15	7.0%	Isopropanol
	8.0%	Methyl acetate
	8.0%	Ethyl acetate
	14.0%	Isopropyl acetate
	34.1%	Butyl acetate

20

Example 52

Clear varnish without nitrocellulose

	23.0%	Luviflex Silk™ (BASF)
25	7.0%	Ketjenflex MH
	2.4%	Camphor
	4.5%	Palatinol A
	8.0%	Methyl acetate
	8.0%	Ethyl acetate
30	14.0%	Isopropyl acetate
	33.1%	Butyl acetate

Example 53

VOC 55 hairspray

35

	21.50%	Water
	35.00%	Alcohol SD 40-B
	0.95%	Aminomethylpropanol
	8.00%	Luviflex™ Silk
40	0.20%	D,L-Panthenol
	0.10%	Uvinul™ MC 80 (Octyl Methoxycinnamate)
	0.10%	Masil™ SF 19 (Dimethicone Copolyol)
	15.00%	Dimethyl ether
	20.00%	Hydrofluorocarbon 152a

45

Example 54

VOC 55 hairspray

- 34.10% Water
- 5 52.00% Alcohol SD 40-B
- 0.50% Aminomethylpropanol
- 9.00% Luviset™ P.U.R. (Polyurethane-1)
- 4.00% Luviflex™ Silk
- 2.00% D,L-Panthenol
- 10 0.10% Uvinul™ MC 80 (Octyl Methoxycinnamate)
- 0,10% Masil™ SF 19 (Dimethicone Copolyol)

Unless expressly mentioned otherwise, all percentages in the examples are % by weight.

15

Example 55

Use as film former in a disinfectant spray

- 150 g of an polymer (example 5) were dissolved in 375 [lacuna] of
- 20 demineralized water, and 375 g of ethanol were added. 100 g of polyvinylpyrrolidone-iodine (PVP-iodine 30/06, BASF Aktiengesellschaft) were then dissolved in this polymer solution with stirring, and the preparation was transferred to pump spray bottles. The disinfectant spray exhibited very good film
- 25 properties on the skin and did not show any iodine loss following storage under stress conditions (7 days at 52°C).

Performance properties

- 30 To measure the flexural rigidity, 3.0% strength by weight solutions of the further polymers, of the polymer and of the preparations according to the invention (polymer + further polymer) are prepared.
- 35 The flexural rigidity is measured on 5 to 10 strands of hair (à about 3 g and 24 cm in length) at 20°C and 65% relative humidity.

- The weighed, dry strands of hair are immersed into the 3%
- 40 strength polymer solution, uniform distribution being ensured by immersing and removing three times.

- The excess film former solution is squeezed off between thumb and index finger, and the strands of hair are then carefully squeezed
- 45 by squeezing between filter papers. The strands are then shaped by hand such that they have a round cross section. They are dried

20090603 15:00:00

at 20°C and a relative humidity of 65% overnight in a climatically controlled room.

The tests were carried out in climatically controlled room at 20°C and a relative humidity of 65% using a tensile/compression testing device.

The strand of hair is placed symmetrically on two cylindrical rolls for sample insertions. Then, using a rounded punch, the strand is bent from above exactly in the center (breakage of the polymer film). The force required therefor is measured using a weighing cell (50 N) and given in newtons.

Table 1 shows the values of the flexural strength of the individual polymers, and the flexural rigidity of the preparations according to the invention in ethanolic solution, which comprise the addition polymer and the further polymer in varying weight ratios (2:8; 1:1; 8:2). The polymer used was Luviflex Silk™ (BASF), which had a flexural rigidity of 109 cN.

Table 1: Flexural rigidity in cN (3% by weight of w.s. in ethanol abs.; 20°C and 65% relative humidity)

	Polymer alone	Ratio of Luviflex Silk™ to polymer		
		2:8	1:1	8:2
Luviskol VA 37 E	80 cN	90	95	106
Luviset CAN	125 cN	129	133	108
Ultrahold 8	80 cN	90	97	110
Luviskol Plus	105 cN	120	117	116

Table 2 shows the values of the flexural strength of the individual polymers, and the flexural rigidity of the preparations according to the invention in VOC 80 formulations, which comprise the polymer and the further polymer in varying weight ratios (2:8; 1:1; 8:2). The polymer was Luviflex Silk™ (BASF), which has a flexural rigidity in VOC 80 formulation of 120 cN.

Table 2: Flexural rigidity in cN (3% by weight of w.s. in VOC 80; 20°C and 65% relative humidity)

	Polymer alone	Ratio of Luviflex Silk™ to polymer		
		2:8	1:1	8:2
Luviskol VA 37 E	109 cN	137	132	114
Luviset CA 66	112 cN	159	123	117

5	Ultrahold Strong	180 cN	166	169	140
	Luviskol Plus	129 cN	172	150	126
	Luviset P.U.R.	168 cN	188	166	140
	Amphomer	166 cN	172	152	175

- As is clear from tables 1 and 2, the flexural rigidity of the preparations according to the invention is significantly greater than the sum of the flexural rigidities of the individual polymers both in ethanolic solution and also in VOC 80 formulations.

- To measure the curl retention, 1.8% strength by weight solutions of the polymers, of the addition polymer and of the preparations according to the invention (polymer + further polymer) were prepared. The comparative sample used in each case was the individual further polymers or the polymer. The preparations according to the invention were prepared in the weight ratio 8:2, 1:1 and 2:8.

- The curl retention was measured as follows:

The washed, dry strands of hair were placed for 15 minutes at about 40°C in 50% strength ethanol (ethanol abs./water dist. 1:1).

- The excess liquid was squeezed out using the thumb and index finger, and the strand of hair was wound around a Plexiglas tube. The strands of hair were then dried overnight at 65 to 70°C.

- After 15 minutes at room temperature, the hair was unwound. About 5 g of hair spray (from a distance of about 20 cm) were sprayed on while rotating the lock. It was then laid down to dry for 1 hour at room temperature.

- The lock was suspended on one end and the lock length (LO) was measured. The lock was placed in a climatically controlled cabinet (25°C, 90% relative humidity), and its length (Lt) was measured after 15, 30, 60 and 90 minutes, and after 2, 3, 4, 5 and 24 hours. The test was carried out on at least 5 strands of hair.

40

$$\text{Curl retention in \%} = \frac{L - L_t}{L - L_O} * 100$$

- 45 L = Length of the hair (15.5 cm)
LO = Length of the hair after drying

Lt = Length of the hair after treatment under climatically controlled conditions

Table 3 shows the values for the curl retention of the individual polymers and the curl retention of the preparations according to the invention in ethanol, which comprise the polymer and the further polymer in varying weight ratios (2:8; 1:1; 8:2). The polymer used was Luviflex Silk™ (BASF), which has a curl retention of 93%.

Table 3: Curl retention in % (1.8% by weight of w.s. in ethanol abs., 25°C and 90% relative humidity)

	Polymer alone	Ratio of Luviflex Silk™ to polymer		
		2:8	1:1	8:2
Luviskol VA 37 E	20%	34	74	82
Luviskol K 30	<20%	25	70	84
Luviset CAN	60%	77	88	84
Luviset CA 66	20%	65	75	70

Table 4 gives the values for the curl retention of the individual polymers and the curl retention of the preparations according to the invention in VOC 80 formulations which comprise the addition polymer and the further polymer in varying weight ratios (2:8; 1:1; 8:2). The addition polymer used was Luviflex Silk™ (BASF), which has a curl retention in VOC 80 formulation of 87%.

Table 4: Curl retention in % (1.8% by weight of w.s. in VOC 80, 25°C and 90% relative humidity)

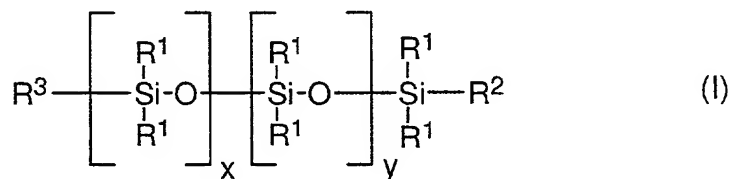
	Polymer alone	Ratio of Luviflex Silk® to polymer		
		2:8	1:1	8:2
Luviskol VA 37 E	23%	23	75	77
Luviset CA 66	34%	79	67	79

We claim:

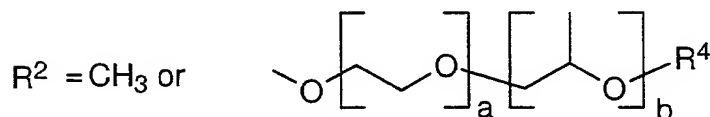
1. The use of an polymer obtainable by free-radical
5 polymerization of a monomer mixture of

(a) ethylenically unsaturated monomers

(b) polyalkylene oxide-containing silicone derivatives of the
10 formula I:

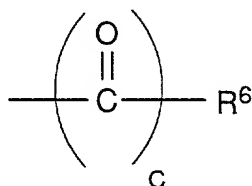
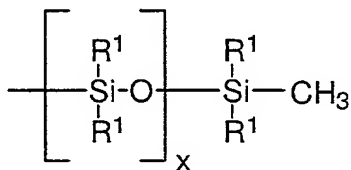


where:



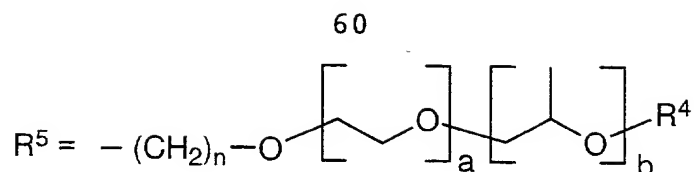
$R^3 = \text{CH}_3 \text{ or } R^2$

$R^4 = \text{H, CH}_3,$



R^6 is an organic radical having 1 to 40 carbon atoms which
can contain amino, carboxylic acid or sulfonate groups,
or, for the case $c=0$, is also the anion of an inorganic
40 acid,

and where the radicals R^1 may be identical or different, and
either originate from the group of aliphatic hydrocarbons
having 1 to 20 carbon atoms, are cyclic aliphatic
45 hydrocarbons having 3 to 20 carbon atoms, are of an aromatic
nature or are identical to R^5 , where:



5

with the proviso that at least one of the radicals R^1 , R^2 or R^3 is a polyalkylene oxide-containing radical according to the above definition,

10

and n is an integer from 1 to 6,

x and y are integers such that the molecular weight of the polysiloxane block is between 300 and 30,000,

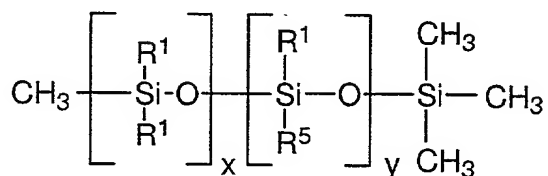
a , b may be integers between 0 and 50, with the proviso that the sum of a and b is greater than 0, and c is 0 or 1.

15

in pharmaceutical preparations.

2. The use as claimed in claim 1, wherein formula I has the following meaning:

20



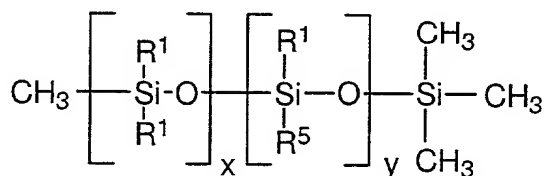
25

where R^1 and R^5 have the meanings given in claim 1.

30

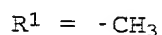
3. The use as claimed in claim 2, wherein formula I has the following meaning

35

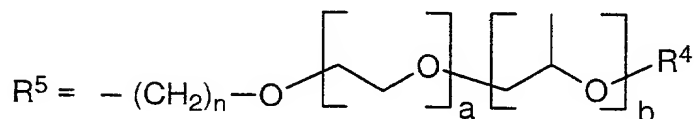


40

where



45



61

$R^4 = -H; -COCH_3$, alkyl with C_1-C_4

$n = 1$ to 6 , in particular 2 to 4

5 x and y are integers such that the molecular weight of the polysiloxane block is between 1000 and 5000 ,

a , b may be integers between 0 and 50 , with the proviso that the sum of a and b is greater than 0 .

10

4. The use as claimed in at least one of claims 1 to 3 , wherein (a) is at least one (meth)acrylate.

15

5. The use as claimed in at least one of claims 1 to 4 , wherein

(a) is chosen from the group consisting of

(a1) tert-butyl acrylate and

20

(a2) methacrylic acid.

25

6. The use as claimed in at least one of claims 1 to 5 , wherein

(a) amounts to 50 to 99.9% by weight and

(b) amounts to 0.1 to 50% by weight,

with the proviso that the fractions add up to 100% .

30

7. The use as claimed in at least one of claims 1 to 5 , wherein

(a1) amounts to 49.5 to 99% by weight

(a2) amounts to 0.5 to 40% by weight

35

(b) amounts to 0.5 to 20% by weight,

with the proviso that the fractions add up to 100% .

40

8. The use of an addition polymer as claimed in at least one of claims 1 to 7 as film former, coating agent and/or binder in pharmaceutical preparations.

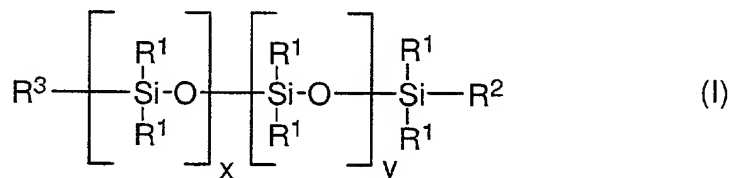
45

9. A preparation comprising

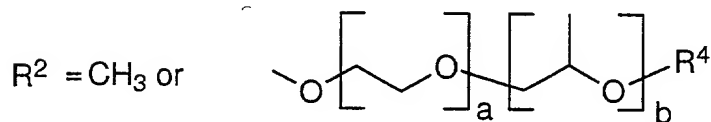
polymer obtainable by free-radical polymerization of a monomer mixture of

(a) ethylenically unsaturated monomers

(b) polyalkylene oxide-containing silicone derivatives of the formula

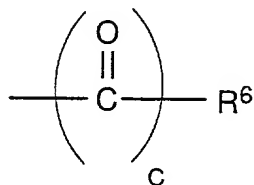
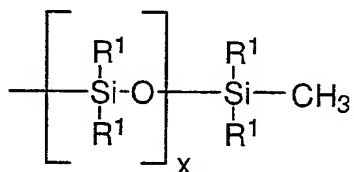


where:



$R^3 = \text{CH}_3 \text{ or } R^2$

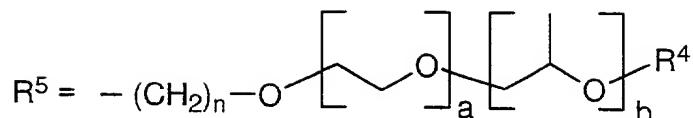
$R^4 = \text{H, CH}_3,$



R^6 is an organic radical having 1 to 40 carbon atoms which can contain amino, carboxylic acid or sulfonate groups, or, for the case $c=0$, is also the anion of an inorganic acid,

and where the radicals R^1 may be identical or different, and either originate from the group of aliphatic hydrocarbons having 1 to 20 carbon atoms, are cyclic aliphatic hydrocarbons having 3 to 20 carbon atoms, are of an aromatic nature or are identical to R^5 , where:

63



5

with the proviso that at least one of the radicals R^1 , R^2 or R^3 is a polyalkylene oxide-containing radical according to the above definition,

10

and n is an integer from 1 to 6,
 x and y are integers such that the molecular weight of the polysiloxane block is between 300 and 30,000,
 a , b may be integers between 0 and 50, with the proviso that the sum of a and b is greater than 0, and c is 0 or 1.

15

- further polymer, chosen from the group formed from
 polyvinylpyrrolidones;
 polyvinylcaprolactams;
 polyurethanes;
- 20 copolymers of acrylic acid, methyl methacrylate,
 octylacrylamide, butylaminoethyl methacrylate and
 hydroxypropyl methacrylate;
 copolymers of tert-butyl acrylate, ethyl acrylate and
 methacrylic acid;
- 25 copolymers of ethyl acrylate and methacrylic acid;
 copolymers of N-tert-butylacrylamide, ethyl acrylate and
 acrylic acid;
 copolymers of vinyl acetate and crotonic acid and/or
 (vinyl) neodecanoate;
- 30 copolymers of vinyl acetate and/or vinyl propionate and
 N-vinylpyrrolidone.

10. A preparation comprising

35

- polymer obtainable by free-radical polymerization of a monomer mixture of

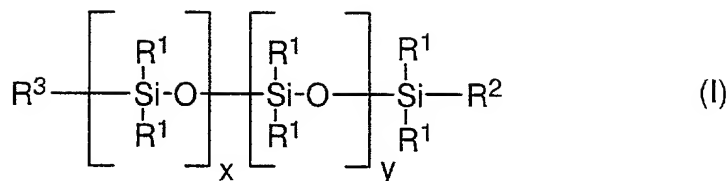
(a) ethylenically unsaturated monomers

40

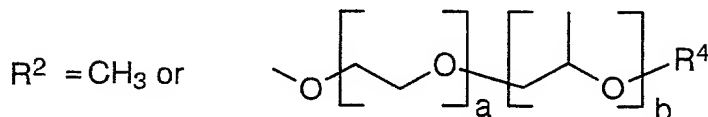
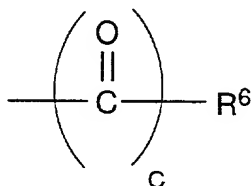
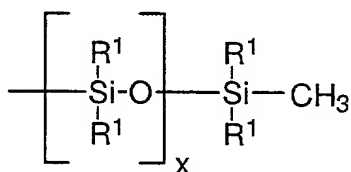
(b) polyalkylene oxide-containing silicone derivatives of formula

45

64

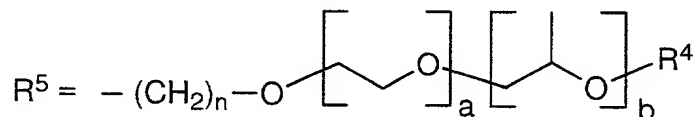


where:


 $R^3 = \text{CH}_3 \text{ or } R^2$
 $R^4 = \text{H, CH}_3,$


R^6 is an organic radical having 1 to 40 carbon atoms which can contain amino, carboxylic acid or sulfonate groups, or, for the case $c=0$, is also the anion of an inorganic acid,

and where the radicals R^1 may be identical or different, and either originate from the group of aliphatic hydrocarbons having 1 to 20 carbon atoms, are cyclic aliphatic hydrocarbons having 3 to 20 carbon atoms, are of an aromatic nature or are identical to R^5 , where:



with the proviso that at least one of the radicals R^1 , R^2 or R^3 is a polyalkylene oxide-containing radical according to the above definition,

and n is an integer from 1 to 6,

65

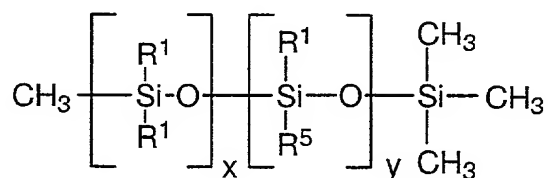
x and y are integers such that the molecular weight of the polysiloxane block is between 300 and 30,000,
a, b may be integers between 0 and 50, with the proviso that the sum of a and b is greater than 0, and c is 0 or 1.

5

- UV light protection filters.

11. A preparation as claimed in claim 10, wherein formula I has the following meaning

10

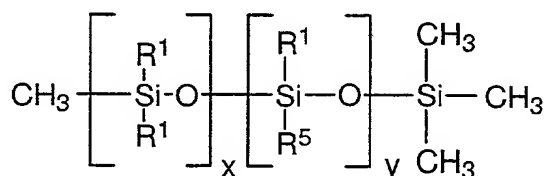


15

where R^1 and R^5 have the meanings given in claim 10.

12. A preparation as claimed in claim 11, wherein formula I has the following meaning

20



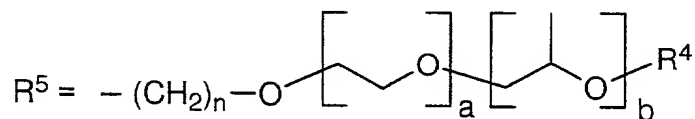
25

where

30

$\text{R}^1 = -\text{CH}_3$

35



$\text{R}^4 = -\text{H}; -\text{COCH}_3$, alkyl with $\text{C}_1\text{-C}_4$

n = 1 to 6, in particular 2 to 4

40

x and y are integers such that the molecular weight of the polysiloxane block is between 1000 and 5000,

a, b may be integers between 0 and 50, with the proviso that the sum of a and b is greater than 0.

45

66

13. A preparation as claimed in at least one of claims 9 to 12, wherein (a) is at least one (meth)acrylate.

14. A preparation as claimed in [at least one of claims 9 to 13, wherein (a) is chosen from the group consisting of

(a1) tert-butyl acrylate

(a2) methacrylic acid.

15. A preparation as claimed in at least one of claims 9 to 14, wherein the addition polymer is obtainable from

(a) 50 to 99.9% by weight and

(b) 0.1 to 50% by weight

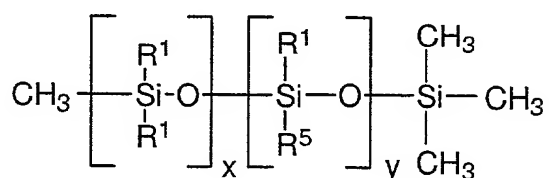
with the proviso that the fractions add up to 100%.

16. A preparation as claimed in at least one of claims 9 to 15, wherein the addition polymer is obtainable from

(a1) 49.5 to 99% by weight of a (meth)acrylate

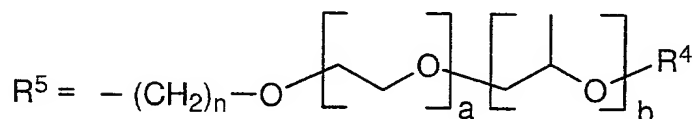
(a2) 0.5 to 40% by weight of another (meth)acrylate

(b) 0.5 to 20% by weight of a silicone derivative according to the following formula:



where

$\text{R}^1 = -\text{CH}_3$



$\text{R}^4 = -\text{H}; -\text{COCH}_3$, alkyl with $\text{C}_1 - \text{C}_4$

$n = 1$ to 6 , in particular 2 to 4

67

x and y are integers such that the molecular weight of the polysiloxane block is between 1000 and 5000,

5 a, b may be integers between 0 and 50, with the proviso that the sum of a and b is greater than 0,

with the proviso that the fractions add up to 100%.

10 17. The use of the preparations as claimed in at least one of claims 9 to 16 in pharmaceutical preparations.

18. The use of the preparations as claimed in at least one of claims 9 to 16 in cosmetic preparations.

15 19. The use as claimed in claim 18 in nail care compositions.

20. The use as claimed in claim 18 in preparations for decorative cosmetics.

20 21. The use as claimed in claim 20 in nail varnishes.

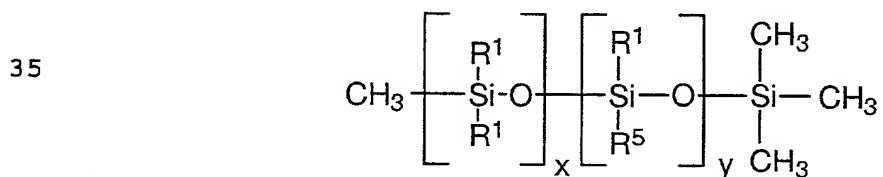
22. The use of the preparations as claimed in at least one of claims 9 to 16 as film formers.

25 23. The use of an polymer obtainable by free-radical polymerization of a monomer mixture of

(a1) (meth)acrylate

30 (a2) another (meth)acrylate

(b) silicone derivative according to the following formula

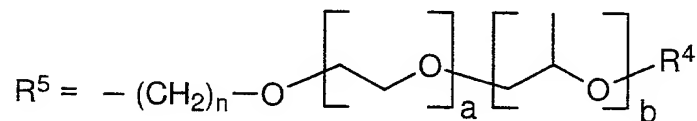


where

40

$\text{R}^1 = -\text{CH}_3$

45



68

$R^4 = -H; -COCH_3$, alkyl with C_1-C_4

$n = 1$ to 6, in particular 2 to 4

5 x and y are integers such that the molecular weight of the polysiloxane block is between 1000 and 5000,

10 a, b may be integers between 0 and 50, with the proviso that the sum of a and b is greater than 0,

15 in preparations for decorative cosmetics.

20

25

30

35

40

45

(12) NACH DEM VERTRAG ÜBER DIE INTERNATIONALE ZUSAMMENARBEIT AUF DEM GEBIET DES
PATENTWESENS (PCT) VERÖFFENTLICHTE INTERNATIONALE ANMELDUNG

(19) Weltorganisation für geistiges Eigentum
Internationales Büro



(43) Internationales Veröffentlichungsdatum
1. März 2001 (01.03.2001)

PCT

(10) Internationale Veröffentlichungsnummer
WO 01/13884 A2

(51) Internationale Patentklassifikation⁷: **A61K 9/00**

(DE). **ENGESSER, Jacqueline** [DE/DE]; Schlossgasse
4, 67071 Ludwigshafen (DE). **WITTELER, Helmut**
[DE/DE]; Birkenstrasse 9, 67259 Beindersheim (DE).

(21) Internationales Aktenzeichen: PCT/EP00/08220

(22) Internationales Anmeldedatum:
23. August 2000 (23.08.2000)

(74) Gemeinsamer Vertreter: **BASF AKTIENGE-
SELLSCHAFT**; 67056 Ludwigshafen (DE).

(25) Einreichungssprache: Deutsch

(81) Bestimmungsstaaten (*national*): CN, JP, RO, SI, US.

(26) Veröffentlichungssprache: Deutsch

(84) Bestimmungsstaaten (*regional*): europäisches Patent (AT,
BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC,
NL, PT, SE).

(30) Angaben zur Priorität:
199 40 642.1 26. August 1999 (26.08.1999) DE

(71) Anmelder (*für alle Bestimmungsstaaten mit Ausnahme
von US*): **BASF AKTIENGESELLSCHAFT** [DE/DE];
67056 Ludwigshafen (DE).

Veröffentlicht:

— Ohne internationalen Recherchenbericht und erneut zu
veröffentlichen nach Erhalt des Berichts.

(72) Erfinder; und

(75) Erfinder/Anmelder (*nur für US*): **DAUSCH, Wilma,
M.** [DE/DE]; Paul-Münch-Strasse 6, 67117 Limburgerhof

Zur Erklärung der Zweibuchstaben-Codes, und der anderen
Abkürzungen wird auf die Erklärungen ("Guidance Notes on
Codes and Abbreviations") am Anfang jeder regulären Ausgabe
der PCT-Gazette verwiesen.

(54) Title: COSMETIC AND/OR PHARMACEUTICAL PREPARATIONS WITH POLYMERS CONTAINING POLYSILOX-
ANES AND THE USES THEREOF

(54) Bezeichnung: KOSMETISCHE UND/ODER PHARMAZEUTISCHE ZUBEREITUNGEN ENTHALTEND POLYSILO-
XANHALTIGE POLYMERISATE UND DEREN VERWENDUNG

(57) Abstract: The invention relates to cosmetic and/or pharmaceutical preparations composed of polymers containing polysilox-
anes which are soluble in water or can be dispersed therein, in addition to other polymers and /or filters protecting against ultraviolet
light. The invention also relates to the use of such preparations and the use of polymers containing polysiloxanes.

(57) Zusammenfassung: Die vorliegende Erfindung betrifft kosmetische und/oder pharmazeutische Zubereitungen enthaltend was-
serlösliche oder wasserdispergierbare polysiloxanhaltige Polymerisate und weitere Polymere und/oder UV-Lichtschutzfilter. Sie be-
trifft weiterhin die Verwendung dieser Zubereitungen sowie die der polysiloxanhaltigen Polymerisate.

WO 01/13884 A2

Declaration, Power of Attorney



26474

PATENT & TRADEMARK OFFICE

Page 1 of 3

0050/050633

We (I), the undersigned inventor(s), hereby declare(s) that:

My residence, post office address and citizenship are as stated below next to my name,

We (I) believe that we are (I am) the original, first, and joint (sole) inventor(s) of the subject matter which is claimed and for which a patent is sought on the invention entitled

COSMETIC AND/OR PHARMACEUTICAL PREPARATIONS COMPRISING
POLYSILOXANE-CONTAINING ADDITION POLYMERS AND USE THEREOF

the specification of which

☒ is attached hereto.

☐ was filed on _____ as

Application Serial No. _____

and amended on _____.

☒ was filed as PCT international application

Number PCT/EP00/08220

on August 23, 2000

and was amended under PCT Article 19

on _____ (if applicable).

We (I) hereby state that we (I) have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

We (I) acknowledge the duty to disclose information known to be material to the patentability of this application as defined in Section 1.56 of Title 37 Code of Federal Regulations.

We (I) hereby claim foreign priority benefits under 35 U.S.C. § 119(a)–(d) or § 365(b) of any foreign application(s) for patent or inventor's certificate, or § 365(a) of any PCT International application which designated at least one country other than the United States, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or PCT International application having a filing date before that of the application on which priority is claimed. Prior Foreign Application(s)

Application No.	Country	Day/Month/Year	Priority Claimed
19940642.1	Germany	26 August 1999	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No

We (I) hereby claim the benefit under Title 35, United States Codes, § 119(e) of any United States provisional application(s) listed below.

_____	_____
(Application Number)	(Filing Date)
_____	_____
(Application Number)	(Filing Date)

We (I) hereby claim the benefit under 35 U.S.C. § 120 of any United States application(s), or § 365(c) of any PCT International application designating the United States, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of 35 U.S.C. § 112, I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR § 1.56 which became available between the filing date of the prior application and the national or PCT International filing date of this application.

Application Serial No.	Filing Date	Status (pending, patented, abandoned)
_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____

And we (I) hereby appoint **Messrs. HERBERT B. KEIL**, Registration Number 18,967; and **RUSSEL E. WEINKAUF**, Registration Number 18,495; the address of both being Messrs. Keil & Weinkauf, 1101 Connecticut Ave., N.W., Washington, D.C. 20036 (telephone 202-659-0100), our attorneys, with full power of substitution and revocation, to prosecute this application, to make alterations and amendments therein, to sign the drawings, to receive the patent, and to transact all business in the Patent Office connected therewith.

We (I) declare that all statements made herein of our (my) own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Declaration

Page 3 of 3

0050/050633

Wilma M. Dausch
NAME OF INVENTOR

Wilma Dausch
Signature of Inventor

Date September 7, 2000

Paul-Münch-Str. 6
67117 Limburgerhof
Germany
Citizen of: Germany
Post Office Address: same as residence

200
Jacqueline Engesser
NAME OF INVENTOR

Jacqueline Engesser
Signature of Inventor

Date September 7, 2000

Schloßgasse 4
67071 Ludwigshafen
Germany
Citizen of: Germany
Post Office Address: same as residence

300
Helmut Witteler
NAME OF INVENTOR

Helmut Witteler
Signature of Inventor

Date September 7, 2000

Birkenstr.9
67259 Beindersheim
Germany
Citizen of: Germany
Post Office Address: same as residence